

# Notas

## Capítulo 1

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- 2 McNeill 2000.
- 3 Cain y Rotella 2001.
- 4 Woods, Watterson y Woodward 1988; Szreter y Mooney 1998.
- 5 Cutler, Deaton y Lleras-Muney 2005.
- 6 Hassan 1985 ; Szreter y Mooney 1998.
- 7 Citado en Bryer 2006.
- 8 Troesken 2001.
- 9 Halliday 1999.
- 10 Hassan 1985.
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- 12 Cutler y Miller 2005.
- 13 Cutler y Miller 2005; Cain y Rotella 2001.
- 14 McNeill 2000.
- 15 PNUD 2003a.
- 16 OMS y UNICEF 2005b.
- 17 Uganda 2004.
- 18 Molle y Berkoff 2006.
- 19 Howard y Bartram 2003.
- 20 Earth Policy Institute 2006.
- 21 Allen, Davila y Hoffman 2006.
- 22 Gandy 2006.
- 23 Bakker y otros 2006.
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- 25 En Lahore y Karachi, véase Banco Mundial 2005c; Centro de Recursos Urbanos 2004; Molle y Berkoff 2006.
- 26 OMS y UNICEF 2005; OMS 2001.
- 27 BAD 2004.
- 28 ONU-Hábitat 2003.
- 29 Redhouse 2005.
- 30 Rao y otros 2003.
- 31 Nyong y Kanaroglou 2001.
- 32 OMS y otros 2006.
- 33 Smets 2004 ; Van Hofwegen 2006.
- 34 Dutta y otros 2003; Sang y otros 1997.
- 35 Estas conclusiones concuerdan con un estudio de nivel micro más amplio centrado en otros indicadores de problemas de salud. En un estudio realizado en Ghana del norte, por ejemplo, se descubrió que las tasas de infección por parásitos eran ocho veces más altas en los hogares donde se obtenía agua de arroyos y ríos que en aquellos hogares en los que se utilizaba agua corriente. También se descubrió que la incidencia de enfermedades aumentaba de un 5% a un 24% durante los periodos de escasez de agua. Buor 2004.
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- 37 Kremer y Miguel 1999.
- 38 Strauss y Thomas 1998.
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- 43 Uganda 2005.
- 44 Uganda 2004.
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- 46 James y otros 2002.
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- 49 Redhouse 2005.
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- 51 Wagstaff 2000.
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- 53 Gasparini y Tornarolli 2006.
- 54 Uganda 2004.
- 55 Bakker y otros 2006.
- 56 McIntosh 2003.
- 57 Collignon y Vézina 2000.
- 58 Swyngedouw 2004; Molle y Berkoff 2006.
- 59 Phan, Frias y Salter 2004.
- 60 Rao y otros 2003.
- 61 Sobre estimaciones financieras, véase Winpenny 2003; Toubkiss 2006; Smets 2004.
- 62 Calculado a partir del tamaño de población del indicador del cuadro 5, PIB del indicador del cuadro 14 y gasto en salud del indicador del cuadro 6.
- 63 Hutton y Haller 2004.
- 64 Slaymaker y Newborne 2004; WSP 2003.
- 65 WSP-AF 2004e.
- 66 Scanlon, Cassar y Nemes 2004.
- 67 Esta sección se basa en las iniciativas para el desarrollo de 2006; Van Hofwegen 2006.
- 68 WSP-AF 2004a.
- 69 Iniciativas para el desarrollo 2006.
- 70 G-8 2003.
- 71 Fondo Mundial para la Lucha contra el SIDA, la Tuberculosis y la Malaria 2006a; Sperling y Balu 2005.
- 72 Banco Mundial 2006c; Sperling y Balu 2005; Banco Mundial y FMI 2003; el Fondo Mundial para la Lucha contra el SIDA, la Tuberculosis y la Malaria 2006b; BAFD 2005.
- 73 BAFD 2005b.

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- 2 Sen 1982.
- 3 Sen 1981.
- 4 Connors 2005.
- 5 Collignon y Vézina, 2000.
- 6 Komives y otros 2005
- 7 Foster, Pattanayak y Prokopy 2003.
- 8 Collignon y Vézina, 2000.
- 9 Howard y Bartram 2003.
- 10 Thompson y otros 2002.
- 11 WUP 2003.
- 12 Collignon y Vézina, 2000.
- 13 Equivalente a 7,5 metros cúbicos al mes.
- 14 Collignon y Vézina, 2000.
- 15 Collignon y Vézina, 2000.
- 16 WSP-AF 2004b.
- 17 Parker y Skytta 2000.
- 18 Parker y Skytta 2000.
- 19 Bakker 2003b.
- 20 Wolff y Hallstein 2005.
- 21 Hall y otros 2002.
- 22 McIntosh 2003.
- 23 Tortajada 2006c.
- 24 Wolff y Hallstein 2005.
- 25 Komives y otros 2005.
- 26 Franceys 1997.
- 27 Caseley 2003.
- 28 Bakker y otros 2006.
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- 30 Slattery 2003.
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- 32 Delfino, Casarin y Delfino 2005.
- 33 *Afrol News* 2006.
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- 35 Pangare, Kulkarni y Pangare 2005.
- 36 Pietilä y otros 2004.
- 37 Foster y Yepes 2005. La accesibilidad económica se define dentro del umbral de agua que representa un máximo del 5% de los ingresos domésticos.
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- 39 Komives 1999.
- 40 Gómez-Lobo y Contreras 2003.
- 41 Komives y otros 2005.
- 42 Basado en datos de Komives y otros 2005. Consulte también Raghupati y Foster 2002; Foster, Pattanayak y Prokopy 2003.
- 43 Foster, Pattanayak y Prokopy 2003.
- 44 Foster, Pattanayak y Prokopy 2003.
- 45 Graham y Woods 2006.

- 46 WSP-AF 2004b.
- 47 Slaymaker y Newborne 2004.
- 48 WSP-AF de próxima aparición.
- 49 Tanzania 2002.
- 50 WaterAid 2005.
- 51 Tanzania 2002.
- 52 Slaymaker y Newborne 2004
- 53 WSP-SA 1999; Dhanuraj, Das Gupta y Puri 2006.
- 54 Van Hofwegen 2006.
- 55 Van Hofwegen 2006.

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- 1 Hugo [1862] 1982, Libro II Capítulo 1.
- 2 Satterthwaite y McGranahan 2006; Satterthwaite 2006.
- 3 Winpenny 2003.
- 4 Briscoe 2005.
- 5 Ringler, Rosegrant y Paisner 2000.
- 6 WSP-AF 2005d.
- 7 Satterthwaite y McGranahan 2006; Hunt 2006.
- 8 Hunt 2006; Esrey y otros 1991.
- 9 Cairncross y otros 1996.
- 10 Cairncross y otros 2003.
- 11 Curtis y Clarke 2002; Curtis y Cairncross 2003.
- 12 WSP-AF 2002b.
- 13 Biran, Tabyshaliev y Salmorbekova 2005.
- 14 WSP-AF 2004a.f.
- 15 Mukherjee 2001.
- 16 Satterthwaite 2006
- 17 Hanchett y otros 2003.
- 18 Kar y Bongartz 2006.
- 19 WSP-SA 2005.
- 20 Luong, Chanacharmongkol y Thatsanatheb 2002.
- 21 Levine y What Works Working Group 2004; OMS y UNICEF 2004a; Banco Mundial 2004d; 2005d; 2006g.
- 22 Banco Mundial 2004a; Crook y Sverrisson 2001.
- 23 Jenkins y Sugden 2006; Practical Action Consulting 2006a, c.
- 24 Melo 2005 ; Heller 2006.
- 25 WSP-SA 2005.
- 26 Jenkins y Sugden 2006; Practical Action Consulting 2006a, c.
- 27 Banco Mundial 2004b.
- 28 Phan, Frias y Salter 2004.
- 29 WSP-AF 2004c.
- 30 Banco Mundial 2004b; WSP 2002d.
- 31 Sakthivel y Fitzgerald 2002.

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- 1 Malthus [1798] 1826.
- 2 WWC 2000.
- 3 Brown 2003.
- 4 Reisner 1986.
- 5 Esta sección se basa en McNeil 2000; Programa Mundial de Evaluación de los Recursos Hídricos 2006; Postel 1992.
- 6 Rijsberman 2004c. Dada su propia naturaleza, tales niveles límite son muy arbitrarios. La escasez se encuentra

sujeta a variaciones regionales considerables debido a factores que no son captados por estos umbrales. Entre ellos se incluyen, por ejemplo, el estado de la infraestructura de almacenamiento de agua y los factores que ejercen influencia sobre la demanda de agua, tales como la naturaleza y el grado de uso productivo del agua, el desarrollo de los sistemas agrícolas y el clima. No obstante, una ventaja fundamental reside en su simplicidad: los datos se encuentran disponibles y su significado es intuitivo y fácil de comprender.

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- 8 Shalizi 2006.
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- 12 Falkenmark y Rockström 2005; SIWI y otros 2006.
- 13 McNeill 2000.
- 14 McNeill 2000.
- 15 Rijsberman, Manning y de Silva 2006.
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- 24 Pearce 2006.
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- 27 Pearce 2006.
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- 30 WRI 2005.
- 31 Hinrichsen, Robey y Upadhyay 1997.
- 32 Banco Mundial 2001; Cai 2006; Shalizi 2006.
- 33 Shah y otros 2003.
- 34 Moench, Burke y Moench 2003.
- 35 Banco Mundial 2005c.
- 36 Vira, Iyer y Cassen 2004.
- 37 Kurnia, Avianto y Bruns 2000.
- 38 Vira, Iyer y Cassen 2004; Saravanan y Appasamy 1999.
- 39 Briscoe 2005.
- 40 Hanchate y Dyson 2004.
- 41 Abderrahman 2002; Csaki y De Haan 2003; SIWI, Tropp y Jägerskog 2006.
- 42 Shetty 2006.
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- 44 Grupo de Trabajo sobre Medio Ambiente 2005.
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- 46 Anand y Sen 1994.
- 47 Pagiola, Arcenas y Platais 2005.
- 48 Sobre la desalinización, véase el Programa Mundial de Evaluación de los Recursos Hídricos 2006; Rijsberman 2004a.
- 49 Banco Mundial 2006h.
- 50 Allan 1998; Rosegrant, Cai y Cline 2002b.
- 51 OCDE 2006a.
- 52 Rosegrant, Cai y Cline 2002b.
- 53 Programa Mundial de Evaluación de los Recursos Hídricos 2006
- 54 Scott, Faruqui y Raschid-Sally 2004; IWMI 2006.
- 55 Scott, Faruqui y Raschid-Sally 2004; IWMI 2006.
- 56 BESA 2000.
- 57 Cai y Rosegrant 2003.
- 58 Shah y Keller 2002.
- 59 Banco Mundial 2006h.
- 60 Véase por ejemplo Gleick 2003, 2005.
- 61 Banco Mundial 2006h.
- 62 Gleick 2003.
- 63 Postel y Richter 2003.
- 64 Grey y Sadoff 2006.
- 65 Miller y Reidinger 1998.
- 66 Grey y Sadoff. 2006.
- 67 Brown y Lall 2006.
- 68 Shetty 2006.
- 69 Awulachew y otros 2005
- 70 Banco Mundial 2006f.
- 71 Banco Mundial 2006e.
- 72 Grey y Sadoff 2006.
- 73 Comisión Mundial de Represas 2000.
- 74 Comisión Mundial de Represas 2000; Berkamp y otros 2000.
- 75 Hussain y Hanjra 2003.
- 76 ONU 1992.
- 77 Dixon, Smith y Guill 2003; Fischer y otros 2005; Informe Stern sobre los Aspectos Económicos del Cambio Climático 2006.
- 78 Fischer, Shah y van Velthuizen 2002.
- 79 IPCC 2001; Arnell y Liu 2001.
- 80 Briscoe 2005; Programa Mundial de Evaluación de los Recursos Hídricos 2006.
- 81 Conway 2005 ; Maslin 2004.
- 82 Informe Stern sobre los Aspectos Económicos del Cambio Climático 2006.
- 83 IPCC 2001.
- 84 Hare y Meinhausen 2004.
- 85 Den Elzen y Meinhausen 2005.
- 86 Den Elzen y Meinhausen 2005. Para alcanzar la meta de 450 ppm, las emisiones mundiales deberán disminuir a una tasa del 2,5% por año desde un valor máximo en el año 2012. Demorar diez años el punto máximo duplica la tasa necesaria de disminución al 5% anual.
- 87 Hadley Centre 2004.
- 88 Bronstert y otros 2005.

- 89** Fischer y otros 2005; Parry, Rosenzweig y Livermore 2005; Nyong 2005.
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- 91** En la presentación de Tanzania ante el IPCC se citó a Murray y Orindi 2005.
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- 93** Dixon, Smith y Guill 2003; Desanker y Magadza 2001.
- 94** Fischer y otros 2005.
- 95** Barnett, Adam y Lettenmaier 2005.
- 96** Banco Mundial 2006a.
- 97** Maslin 2004.
- 98** Ellis, Corfee-Morlot y Winkler 2004; Ellis y Levina 2005. Hacia fines del año 2005 había 35 proyectos registrados en virtud del mecanismo para un desarrollo limpio (MDL) y más de 600 se encontraban en etapa de planificación. Se estima una proyección de flujos financieros de \$1.000 millones a través del MDL en el año 2012. La mayoría de los proyectos está en el sector energético, con una gran concentración en la India, China, Brasil, Corea y México (los cuales representan aproximadamente el 70% del intercambio en virtud del MDL). El único país del África subsahariana que participa es Nigeria, que representa menos del 2% de los créditos totales del MDL. El Fondo Mundial para el Medio Ambiente ha dirigido esfuerzos de asistencia multilateral más amplios respecto del cambio climático; en el año 2004 había comprometido aproximadamente \$1.800 millones en subsidios para proyectos relacionados con el cambio climático, apalancando aproximadamente cuatro veces más a través de cofinanciación. Aproximadamente dos terceras partes del total han sido asignadas a grandes proyectos de mitigación. Al igual que con el MDL, ha habido una concentración en los países en desarrollo más grandes, con 10 países que recibieron más de la mitad de la financiación total.
- 99** Informe Stern sobre los Aspectos Económicos del Cambio Climático 2006.
- 100** FMMA 2006.
- 101** Calculado a partir de OCDE 2006b.
- 102** Sachs y otros 2005.

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- 2** Banco Mundial 2006f.
- 3** Hussain 2005.
- 4** Banco Mundial 2006h.
- 5** Rosegrant, Cai y Cline 2002b.
- 6** Banco Mundial 2006h.
- 7** Banco Mundial 2006h.
- 8** Seckler y otros 2000; Rosegrant, Cai y Cline 2002b; FAO 2003b.
- 9** FAO 2005; Grey y Sadoff 2006.
- 10** Comisión para África 2005
- 11** Molle y Berkoff 2006; Narain 2006; Cai 2006.

- 12** Molle y Berkoff 2006.
- 13** Gandy 2006.
- 14** *Gulf Times* 2006.
- 15** Meinzen-Dick y Pradhan 2005.
- 16** Kenney 2005; Meinzen-Dick y Ringler 2006.
- 17** Villarejo 1997, citado en Meinzen-Dick y Ringler 2006.
- 18** NNMLS 2000, citado en Meinzen-Dick y Ringler 2006.
- 19** Peña, Luraschi y Valenzuela 2004.
- 20** Miguel Solanes, comunicación personal.
- 21** Cai 2006; Banco Mundial 2001; Shalizi 2006; Molle y Berkoff 2006.
- 22** Kurnia, Avianto y Bruns 2000.
- 23** Palanisami 1994; Palanisami y Malaisamy 2004.
- 24** Rosegrant y Perez 1997.
- 25** Cotula 2006; Sylla 2006.
- 26** Sylla 2006.
- 27** Sylla 2006.
- 28** Van Koppen 1998 ; Pander 2000.
- 29** Cotula 2006 ; Adams, Berkoff y Daley 2006.
- 30** Banco Mundial 2005c.
- 31** Hussain y Wijerathna 2004b; Lipton 2004a. Análisis de la producción agrícola en la India y Pakistán, desigualdad identificada en la distribución de las tierras y el agua de los canales, baja calidad del agua subterránea (especialmente en las áreas de final de las cuencas, donde la disponibilidad del agua del canal es menor), y prácticas agrícolas (siembra de variedades más antiguas, demora en los ciclos de siembra y aplicación de insumos), como factores clave que explican la baja productividad agrícola. Véase también Banco Mundial 2002.
- 32** Hussain 2005; Hussain y Wijerathna 2004b.
- 33** Hussain y Hanjra 2003; Hussain 2005.
- 34** Azam y Rinaud 2000, pp. 8-10, citado en Lipton 2004, p. 17.
- 35** Lipton 2004a.
- 36** Briscoe 2005.
- 37** Briscoe 2005; Banco Mundial 2005c.
- 38** Boelens, Dourojeanni y Hoogendam 2005.
- 39** Hussain 2005.
- 40** Briscoe 2005.
- 41** Tortajada 2006b.
- 42** Shah y otros 2002.
- 43** Marcus 2006.
- 44** Sarwan, Subijanto y Rodgers 2005; Vermillion 2005.
- 45** Faysse 2004.
- 46** Hussain 2004, citado en Lipton 2004a.
- 47** Meinzen-Dick y Zwarteveen 1998; van Koppen 2002.
- 48** Bastidas 1999, p. 16.
- 49** Grupo de trabajo entre organismos sobre género y agua 2004.
- 50** Oweis, Hachum y Kijne 1999; Vaidyanathan 2001.
- 51** Narain 2006.
- 52** Rijsberman 2004b.
- 53** Rijsberman 2004b.
- 54** Narain 2006.

- 55** Vaidyanathan 2001.
- 56** FAO 2005.
- 57** Awulachew y otros 2005; Inocencio y otros 2005.
- 58** Banco Mundial 2006h.
- 59** Shah y Keller 2002.
- 60** Shah y otros 2002.
- 61** Inocencio, Sally y Merrey 2003.
- 62** Shah y otros 2000; Polak 2005a.
- 63** Namara 2005.
- 64** Rijsberman 2004b. Con una tasa de descuento del 10%.
- 65** Polak 2005b.
- 66** Brown 2003.
- 67** Cleaver y Gonzalez 2003.
- 68** Cleaver y Gonzalez 2003.
- 69** Banco Mundial 2006h.
- 70** Comisión para África 2005.

#### Capítulo 6

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- 2** Jägerskog y Phillips 2006.
- 3** Medzini y Wolf 2006; Banco Mundial 2006h.
- 4** Cálculos a partir de Wolf y otros 1999, cuadro 4; CIA, 2006.
- 5** Elhance 1999, p. 60.
- 6** MRC 2006; cálculos de la HDRO.
- 7** Bonheur 2001; Keskinen y otros 2005.
- 8** Nguyen y otros 2000, p. 4.
- 9** Kayombo y Jorgensen 2006, p. 433.
- 10** PNUMA 2004b.
- 11** Jolley, Béné y Neiland 2001, p. 31; Kayombo y Jorgensen 2006, p.433; Klohn y Andjelic 1997, p.1; Odada, Oyebande y Oguntola 2006, p. 77.
- 12** ALT 2003, p. 468.
- 13** Puri y Arnold 2002.
- 14** Coe y Foley 2001.
- 15** Sarch y Birkett 2000.
- 16** UICN 2004.
- 17** Sikes 2003; PNUMA 2004a, p. 19.
- 18** Odada, Oyebande y Oguntola 2006, p. 83.
- 19** Citado en McNeill 2000.
- 20** Peachey 2004 ; Weinthal 2006.
- 21** Greenberg 2006.
- 22** PNUD 2002.
- 23** FMMA 2002.
- 24** Resulta algo irónico constatar que, históricamente, la doctrina de "primera apropiación" fue utilizada por Estados Unidos para defender reivindicaciones como país ribereño aguas arriba, contra México.
- 25** Sadoff y Grey 2002.
- 26** Wolf 2006.
- 27** Itaipú Binacional 2006.
- 28** Sadoff y Grey 2005.
- 29** Wolf, Yoffe y Giordano 2003.
- 30** Hamner y Wolf 1998.
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- 32** Citado en Priscoli 1998, p. 633.
- 33** Fischhendler y Feitelson 2003, p. 563.
- 34** WSP International 2003; NELSAP 2002.
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