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**THE DEMOCRATIC MANAGEMENT OF CULTURAL DIFFERENCES:
*BUILDING INCLUSIVE SOCIETIES IN WESTERN EUROPE AND NORTH
AMERICA***

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**THE DEMOCRATIC MANAGEMENT OF CULTURAL DIFFERENCES:
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Aristide R. Zolberg¹

Introduction

Much of the debate over the impact of recent immigration in Western Europe and among its overseas offsprings on social cohesion and political stability rests on a profound misunderstanding: contrary to re-writings of history that represent cultural diversity as a departure from the norm, in reality heterogeneity was the more usual state of affairs, and the developmental path of the countries in question was shaped by the conflicts to which this gave rise as well as the resulting settlements.

Although most of the societies in question consider themselves long-established “nations,” it should be remembered that although the striving to elaborate distinct proto-national cultures built around the myth of a common descent from ancient ancestors and expressed by way of a distinct language originated in the kingdoms of England and France as early as the thirteenth century, it was not fulfilled until the late nineteenth, and in most cases quite imperfectly, as the resulting states remained retained sizeable territorially concentrated (i.e., regional) population groups with distinctive “ethnic” identities, often based on language -- notably Bretons, Alsatians, and Corsicans in France; Scots, Welsh, and Irish in the United Kingdom; Catalans and Basques in Spain. Another major source of difference was religion. The rulers of Spain and Portugal expended considerable effort in the fourteenth and fifteenth centuries on eliminating the remaining islands of Muslim and Jewish culture, reconstructing differences of faith into differences of “blood” and pursuing policies that resulted in effect in “ethnic cleansing”. Even as religious homogeneity was being achieved in the south, in northern Europe Protestant challenges to the authority

of Rome triggered a century and a half of widespread conflict, ultimately settled by way of the rule of *cuius regio, eius religio*, whereby inhabitants of a given territory must adopt the ruler's religion. This too generated massive flows of refugees –indeed, the word itself came into the French language and subsequently into English as a result of these very experiences. Concomitantly, these refugees contributed to ethnic diversity among the receiving countries, as denoted by the incidence of French names in England, Sweden, and Prussia, or of Irish names in Spain and Latin America. Germany, which was unified only in the late nineteenth century, was in effect a Protestant country with a large Roman Catholic minority, consisting in part of Polish-speaking Slavs. The Kingdom of the Netherlands, as constituted after the Napoleonic wars, was about evenly divided between Protestants and Roman Catholics, with the latter further divided between Dutch / Flemish and Walloon / French speakers; there was also a linguistically distinct group in Friesland. When the southern provinces broke away in 1830, the Netherlands remained heterogeneous with regard to religion, while the new kingdom of Belgium was entirely Roman Catholic but sharply divided along linguistic lines, a feature that has dominated its political life to this day. Significant linguistic differences persisted in Spain as well.

In the aftermath of the Enlightenment, struggles over role of religion itself, especially in Catholic countries, gave rise to new differentiations of a more political nature between those advocating an official role for religion in the state, and those taking the opposite tack. Settlement of French revolutionary upheavals by way of the Napoleonic Concordat established in France in early nineteenth century reserved for the Catholic church a special place within the state, but led to struggle throughout nineteenth and first half of twentieth. This led to cleavages in Catholic countries such as Portugal, Spain, Italy, France, Belgium, which played a major role in shaping emergence of political parties during democratization, and in most cases persisted until quite recently. The outcome was usually separation of Church and State, which did not mean reducing the importance of the Church; in France, the Concordat structure persists, with the state expecting major religious bodies to be constituted as interlocutors. The

United States also separated church and state, but as part of struggle for independence from Britain, and its version places less emphasis on “secularism” than the French. Religious organizations came to constitute vital components of civil society, a development which probably helps explain the distinctive persistence of religiosity among the U.S. public, in contrast with Europe.

These religious and linguistic divisions tended to become politicized in the age of democratization, and played a significant role in shaping the relevant countries’ institutions. A number of European countries also contained marginal populations that differed from the mainstream by their way of life, notably nomadic Roma and “indigenous” Saami in northern Scandinavia, and whose status remained largely unsettled.

Contrary to another historical fiction, throughout these centuries most European countries also received considerable flows of immigrants (Moch 1992; Zolberg 1978; Zolberg 1978). As already noted, some of these arose from religious persecutions; but in addition, there were all along considerable migrations driven by economic necessity, notably the deterioration and the consolidation of agricultural lands, vastly accelerated by the onset of the industrial age. These movements occurred both within and between countries, and while overseas migrations have drawn considerable attention, many transnational movements occurred within Europe itself as well. France, in particular, having experienced a sharp drop in fertility in the first half of the nineteenth century, drew considerable flows from neighboring Italy, Spain, and Belgium and, by the turn of the twentieth century, also from more distant Poland. The industrial, mining, and commercial agricultural regions of Germany also drew large numbers of Poles from the late nineteenth century onward. Industrial Britain drew heavily on the southern Irish as its “industrial labor reserve”; since the entire island was then part of the United Kingdom, this was an “internal” rather than “international” migration, but the Irish Catholic and Celtic-speech migrants were regarded by the receiving communities as “strangers” rather than as co-nationals. Similarly, within Belgium, there was considerable movement of Flemish-speakers to the Walloon industrial and mining centers as well as to the

capital region, which was then largely French-speaking. In the same vein, a large part of the westward moving Jewish emigration from Eastern Europe in the late nineteenth century landed in Western Europe rather than overseas, and triggered a new and more acute form of anti-semitism, which culminated in a tragic form of “ethnic cleansing” in the mid-twentieth.

Similar patterns emerged in North America as well. As is well known, at the time of its founding, the United States was sharply divided between North and South, which were differentiated by their economic structure, legal systems, as well as cultural orientations and traditions. There were further cultural differentiations within both regions, for example French Catholic Louisiana within the South, and Germanic Pennsylvania within the North. Despite efforts by American ruling elites to secure mostly Protestant and “Anglo-Saxon” immigrants, subsequent waves were much more heterogeneous with regard to both language and religion. However, the original cultural differentiation led to a bloody Civil War, and in its wake, there were more self-conscious efforts to limit immigration to what were considered “desirable” groups, leading eventually to the outright exclusion of Asians and the severe restriction of eastern and southern Europeans. Concurrently, African Americans, hitherto largely confined to the South, were massively drawn to the industrializing north and emerged as a major presence in its cities in the mid-twentieth century; southern whites moved for similar reasons, as did much of the population of the Midwestern plains.

Canada, originally a French colonial undertaking, was conquered by Britain in the latter part of the eighteenth century and following an abortive uprising by the population of French descent in the 1830s, deliberate efforts were made to overwhelm them demographically by way of immigration as well as institutionally, resulting eventually in the formation of a heterogeneous British-dominated confederation. In both the United States and Canada, indigenous peoples managed to survive successive attempts to eliminate or absorb them, and to retain enough of a collective identity to provide the basis for claims to some form of institutional recognition. Moreover, after a protracted period of very limited immigration in the middle decades of the twentieth centuries, incoming

flows resumed with a sharp shift of their sources from Europe to other parts of the world –mostly Asia and the Caribbean in the case of Canada, and in addition Latin America and to some extent Africa in the case of the United States. Following the fall of the European Communist regimes, which had generally maintained severe prohibitions against exit, Central and East Europeans were added as well. Consequently, even these “traditional immigration countries” began to face what they perceived as new challenges of unprecedented diversity.

What is different today, however, is not so much the advent of diversity, but rather the changed circumstances under which it is taking place. Although the emphasis is often placed on the fact that the new differences are “wider,” in the sense that the new immigrants, originating in more distant parts of the world and often from what are loosely reckoned as different “races,” differ to a greater extent from the receivers than earlier waves or than the various internal groups noted, this is an historical distortion. In many cases, earlier immigrants were also perceived as belonging to different and usually inferior “races,” as was the case of the Irish in Britain and America, of east European Jews on both sides of the Atlantic, and of southern and eastern Europeans in North America. A distinctive feature of today’s situation is that the differences are emerging under social and political conditions that render some of the older responses unfeasible, either because they are impractical or because they are ruled out by the receivers’ institutionalized obligation to respect human rights.

While much of the concern among the receivers arises from the immigrants’ distinct “ancestry,” which is commonly believed to imply certain cultural and behavioral dispositions, biological origins are of themselves quite irrelevant to social life. What does matter are concrete cultural differences, among which religion and language are most prominent. In this perspective, past experiences of diversity are relevant not only to set the historical record straight – although this is important in itself, as acceptance of diversity as a “fact of life” will contribute to the reduction of social tensions and the political extremism they

feed, and awareness that the societies in question faced earlier challenges of this sort should enhance confidence in their capacity to deal with such matters today.

Moreover, management of the old differences generated dynamics that still play an important part in the political life of their societies. For example, confrontations between speakers of the two national languages brought about a major constitutional transformation of Belgium in the 1970s, and continue to affect the composition of its governments and its approach to a variety of policies; this was the case also in Spain, where some Basque elements continue to challenge the recent settlement; the United Kingdom has also taken some steps toward regional devolution to the benefit of Scotland, Wales, and Northern Ireland, with the latter still unsettled; and Canada has also undergone major constitutional transformations. Equally important, the mode of settlement of past issues arising from cultural diversity produced an institutional legacy that which shapes to a considerable extent contemporary responses, in accordance with a process social scientists term “path dependency.” For example, France’s anxious response to the wearing of a headdress by Muslim schoolgirls and its attempt to construct what is in effect a “Muslim national church” –discussed in detail below— arise from the application of “principles” established in the course of past struggles between the secularizing state and the Roman Catholic church.

Although the literature dealing with these matters usually emphasizes distinctions between policies that respectively emphasize “assimilation,” “differentialism,” or “multiculturalism” (Inglis 1997), this paper argues that in practice, policies necessarily involve a combination of elements from all of three positions because the major features that enter into the composition of a group’s identity, notably religion, language, and social traditions, cannot be dealt with in the same manner. Moreover, regardless of their legal traditions, receivers are constrained by contemporary international standards of fairness and conceptions of rights –sometimes formalized by way of adherence to certain treaties-- to adopt generous policies of access to citizenship. Hence while the approaches of different states to incorporation are largely shaped by past experiences in dealing with cultural differences, the constraints arising from the practical implications of

religion and language combine with those imposed by contemporary standards of justice and fairness on cultural matters and citizenship to induce considerable convergence among them.

PART ONE: THE CONTINUED RELEVANCE OF HISTORICAL PATTERNS

1. Religious Diversity:

Religion, especially as it is practiced in contemporary societies, is not merely a matter of personal faith, but is usually embedded in distinctive and bounded institutions. Its materialization in the public sphere involves worship, usually in dedicated buildings on prescribed holidays and at specified times, and the organization of religious bureaucracies and membership-based congregations to manage these matters. In some cases it also encompasses distinctive dietary practices, which usually involve the procurement of appropriately prepared foods and insuring their availability in public facilities such as school and factory lunchrooms, as well as dress codes, which may clash with mainstream ones (for example, the covering of head hair and prohibitions on the display of body parts considered indecent). Religion often also entails distinctive prescriptions governing the family as well as the comportment of men and women; and where this is the case, parents are concerned that the schools reinforce the appropriate norms and provide suitably different activities or even programs for boys and girls.

On both sides of the Atlantic, existing institutional arrangements governing religion reflect the historical settlement of controversies arising from religious diversity and secularization, as well as the outcome of earlier encounters with immigrant religions --notably Roman Catholicism in the United States from about 1830 on, and Judaism on both sides of the Atlantic from approximately the same period onward (Gleason 1987; Vetvik 1992; Birnbaum & Katznelson 1995). (Koenig 2003). Nevertheless, progress in making freedom of worship a reality rather than a theory has been remarkably slow. For example, non-Catholic Christian denominations were recognized in Italy only in 1984 and in Spain in

1992. Moreover, the continuing strength of a dominant church or churches, and their historical contribution to the shaping of public culture by way of holidays and rituals, for example, can be construed by immigrants at the individual level as pressure to conform in one way or another to the majority religious culture. At the level of the collectivity, conditions for incorporation of the newcomer religion into existing arrangements usually reflect expectations that it will evolve toward the organizational model similar to that of the majority religions, e.g. into an ecclesiastical hierarchy in Roman Catholic or Lutheran European countries, or alternately, into a decentralized congeries of congregations in the United States. The state's "neutrality," which allows religious immigrants to organize themselves, but only if they do so in tacit or even explicit conformity with indigenous models, amounts to a policy of attenuated assimilation. In some countries, this is a fairly recent development: in France, for example, the requirement that in order to qualify for authorization and the possibility of state subsidies, NGOs must include a minimum number of citizens in their leadership, was clearly an obstacle for many immigrant groups, notably religious ones, and was abolished only in 1981 (Kastoryano 2002). This is further manifested in provisions for access to public funding, if at all available, that is limited to cultural, education and sporting activities rather than for strictly religious activities.

All the societies under consideration had to deal with the place of religion in public life in the course of their political development, giving rise to three major patterns:

1. *Established church*: This was the baseline situation across the board. Following the emergence of Christianity as the dominant religion, minorities (residual "pagans," Muslims, Jews) were either converted or physically eliminated (massacred, expelled); but the differences that emerged within Christianity itself --first Byzantium vs. Rome, and subsequently within Roman Christianity, between Roman Catholicism and various forms of Protestantism— subsequently affected state-formation as well. By and large, rulers identified with a particular Christian church and generally imposed this choice on their subjects. France was torn by

religious wars for nearly a century, with the Roman Catholic side emerging victorious in the early seventeenth century; subsequently, His Most Catholic Majesty King Louis XIV resorted to “religious cleansing” to eliminate remaining Calvinist Protestants (“Huguenots”) from his realm. Yet at the same time that he eliminated the Huguenots, Louis XIV acquired Alsace, whose population was in part Lutheran as well as Jewish, and was obligated by the acquisition treaty to concede them religious freedom, thus contributing to a renewal of diversity. In the United Kingdom, following severe upheavals for most of the seventeenth century, the Anglican Church was established in England and Wales while the Presbyterian Church was established in Scotland and Roman Catholics were deprived of rights, including the majority population in Ireland. Most Scandinavian countries fit this model as well. Established religions benefited from state support and in most instances, dominated the educational and the embryonic welfare system. Although in most instances members of minority faiths were granted civil rights in the course of the nineteenth century, their religious institutions and schools did not benefit from state support.

2. *Consociational Pillarization*: In some countries with relatively large religious minorities, notably the Netherlands, nineteenth-century political confrontations resulted in a settlement providing state support to the principal churches on the basis of parity (Lijphart 1977). This arrangement in turn fostered the organization of separate education and welfare systems, often encompassing a vast range of voluntary and non-government organizations. Similar institutional arrangements were established in post-World War II West Germany, where for example taxpayers indicate to which religious organization they want to contribute, and the state in turn supports the different faiths. In a number of Catholic countries, this approach also governed the settlement of confrontations between “clerical” and “anti-clerical” camps (Belgium, Austria; Spain, Portugal). Where this pattern has been institutionalized, the emergence of

new faiths raises poses the issue of whether they will be accorded parity on the same basis as the historically established ones.

3. *Separation of Church and State*: In the aftermath of the Enlightenment, struggles over the role of religion itself, especially in Catholic countries, gave rise to a new differentiation of a more political nature, between those advocating an official role for religion within the state, and those taking the opposite tack. Settlement of French revolutionary upheavals by way of the Napoleonic Concordat established in early nineteenth century France a special place for the Catholic church within the state, but led to a struggle over this issue through the nineteenth and first half of the twentieth. Similar cleavages emerged in Portugal, Spain, Italy, and Belgium, playing a major role in shaping the configuration of political parties in the course of democratization. The outcome was usually separation of Church and State. This did not necessarily signify a lowering of the importance of the church. In France, Concordat structures in effect persist, with the state expecting major religious bodies to be constituted as official interlocutors. Moreover, the state insisted on maintaining a supervisory function so that, in practice, constituted religious bodies must meet its approval.

In contrast, American separation arose from the colonial conflict with Britain, including with its established Anglican church, and was also a response to the wide variety of churches that arose in the new land, partly as a consequence of flight from persecution by religious minorities. In effect, separation combined a strict avoidance of state support for religious institutions with considerable freedom for the organization of religious bodies and a generally benevolent stance toward faith itself –as expressed, for example, in the proclamation on the national currency, “In God we trust.” Accordingly, the religious sphere is genuinely “anarchic” (i.e., devoid of governance): there are no legal impediments to the emergence of new faiths and their public manifestations.

2. Linguistic Diversity:

The logic of language as embedded in social and cultural institutions differs sensibly from what one might expect on the basis of innate human abilities and inclinations. Any human being who is capable of speech can learn to speak in more than one tongue; and this capacity is cumulative, in that the acquisition of a new language does not occasion the loss of the old. Accordingly, widespread multi-lingualism is common in many societies, historical as well as contemporary. Yet the host countries on both sides of the Atlantic are firmly committed to achieve and maintain the paramountcy of one --or in a few cases, several-- national languages. Thus, despite the cumulative linguistic capacity of individuals, in the western world generally language provides the principal foundation for the formation of bounded and mutually exclusive national groups, thereby paralleling the institutionalization of religion (de Swaan 1993a; de Swaan 1993b).

However, the materialization of language in the public sphere takes very different forms from that of religion. To begin with, because communications, in order to be intelligible, must be expressed in linguistically specific ways, in the course of carrying out its activities the state necessarily engages in linguistic choices. It can make itself blind --to religion, race, ethnicity-- but it cannot choose to become deaf or mute; institutionally speaking, there cannot be an equivalent to the separation of Church and State, with language relegated to the private sphere and the state adopting a "neutral" stance. The state's choices in turn affect the value of the linguistic capital of various groups in the population, including immigrants whose original language differs from that of the hosts.

By and large, these considerations are applicable also to the institutional spheres that pertain to making a living. The coming of the post-industrial age, involving an accelerated shift from work in agriculture and industry to the "white collar" sector and beyond, has decisively enhanced the importance of language skills in the labor market; and since these skills are in large part language-specific --an employee's success depends not merely on how well she reads and writes, but how well she reads and writes French, or Dutch -- the linguistic regulations and practices that prevail in the labor market become more important

as well, because they decisively affects the value of one's linguistic capital. This is true also in the sphere of education, which determines access to the labor market for new generations. The advent of the information age will undoubtedly further modify these conditions, but so far specific language remains vital.

Whereas it is possible for a state to adopt a neutral stance with regard to a variety of religions, or to make itself blind to ancestry, it cannot choose to become mute or deaf. Because of the widespread belief that language differences are a source of political instability and societal conflict, as well as that they constitute an economic liability, policies are commonly designed to reduce the actual diversity encountered among the population and to minimize its potential growth. This reductive tendency is reinforced by the costs of multilingual services and institutions. Consequently, over three-quarters of the world's countries have central governments that are officially or effectively unilingual; but half of them contain linguistic minorities of 10 percent or more; and in about one-third of the cases, a majority of the inhabitants do not use the official language as their everyday speech. Even where central governments are officially multilingual, the languages recognized rarely encompass the full range of everyday speeches used by the population (Laponce 1987:95-102).

Most important, whereas their commitment to freedom of religion obligates liberal states to go beyond respect for the rights of individuals and accept, or sometimes even positively sustain, a measure of institutional pluralism in the religious sphere, no such obligation arises in connection with "freedom of speech," which is generally construed to refer primarily to the contents of speech (broadly speaking) rather than choice of linguistic vehicle. Accordingly, the sphere of "language rights" is more limited than that of "religious rights." For example, in Australia and Canada, which have explicitly endorsed "multi-culturalism," the application of this approach to language does not entail the abandonment of basic education in English (or French, in the case of Quebec), but merely a commitment to provide instruction in the immigrant child's home language as a supplementary –i.e., "foreign"-- language (Inglis 1997:30).

In Europe, linguistic matters moved to the fore as rival states sought to transform their populations into “nations” sharing a distinctive identity (Anderson 1983; Gellner 1983). Vastly facilitated by the advent of printing in the late fifteenth century, nation-building led to the fragmentation of the Church of Rome into national Protestant denominations or national Catholic segments, served by sacred texts translated into the vernaculars of the various courts. The norm of linguistic monism was firmly institutionalized as an adjunct of monarchical absolutism, which also encouraged the elaboration of literatures dedicated to the legitimation of royal authority. State expansion by way of the incorporation of peripheries or of adjoining foreign territories, the advent of mass armies, democratization, and industrialization, rendered linguistic unification ever more urgent.

In the French historical tradition, for example, the emergence of the Île de France’s vernacular as the language of state is associated with the Oath of Strasburg (842), marking the formation of stable boundaries between the rival kingdoms issued from Charlemagne’s empire (Nordman 1998:446-47). The French language accompanied the spread of royal authority. In 1539 the edict of Villers-Cotterêts prohibited the use of Latin in law and administration and imposed the use of “French maternal speech” in its stead. Although this left room for the use of regional vernaculars in local affairs, subsequent edicts proclaimed after the annexation of additional “foreign” provinces imposed on them the exclusive use of French. Concurrently, the state engineered the standardization of French by creating an academy to that effect. Nevertheless, at the time of the revolution, only one-fourth of the population spoke French as its usual language. The revolutionary leadership initially attempted to mobilize the citizenry by way of the vernaculars, but in the face of local resistance these were declared to be tainted by Ancien Régime norms. Moreover, the persistence of peripheral languages related to those of counter-revolutionary neighbors was deemed a security risk. Ignorance of French also hampered the effective deployment of mass armies. Consequently, the government launched unprecedented efforts to impose French as the exclusive language of public and private affairs down to

the most local level (de Certeau, et al. 1975). The discovery half a century later that one-fourth of the population still did not use French in ordinary life prompted renewed concerns with political stability as well as national security, and ignorance of French was now seen also as an obstacle to economic modernization. This led to the elaboration of a comprehensive and centralized system of primary education, which emphasized mastery of the national language and the repression of regional tongues; however, this was combined with a glorification of France's regional diversity, in which the regions were seen as "destined" to become part of France (Weber 1976; Thiesse 2000).

Parallel developments took place in England, where the triumph of English within the royal state is associated with the victory at Agincourt (1415), marking the country's definitive defeat of French dynastic claims, as celebrated in Shakespeare's *Henry V* (Dillard 1985:6-7). In the same vein, the break from Rome in the sixteenth century was accompanied by the translation of the Bible into "the king's English." Although linguistic unity progressed rapidly within England itself, the subsequent formation of Great Britain and of the United Kingdom generated new diversity, which policy-makers episodically undertook to reduce until well into the twentieth century (Colley 1992:11-18). French and British policies toward their respective Celtic peripheries –Brittany in the one case, Wales and Ireland in the other-- were strikingly similar, and despite major differences in their strategies of colonial rule, both states also adopted analogous language policies with regard to central administration and the education of indigenous elites.

Aided by the advent of the printing press, from the sixteenth century onward, rulers of the emerging European states undertook to establish a single language, distinctive from that of their neighbors, as the exclusive means of communication between the state and its subjects throughout the realm (Febvre & Martin 1971; Anderson 1983); and over subsequent centuries, the national culture penetrated steadily downward along with the spreading literacy. Whereas in the course of liberalization states gradually relinquished the notion that a common religion was a *sine qua non* for national integration and survival, the

opposite occurred with regard to language, which steadily moved to the fore as the single most important element in the construction of national identity, both positively as a communicative instrument shared by members of the “nation,” and as a boundary marker affirming their distinction from others. Thus, as the result of deliberate state action as well as of the dynamics of “cultural capital” (Bourdieu 1984), monolingualism became the norm throughout the economy and much of civil society as well.

In a few exceptional cases, where a sizeable segment of the elite or of the historically resident population at large spoke other languages, this gave rise to a protracted struggle on behalf of their recognition in specified spheres, notably state services, justice, education, and eventually work (Zolberg 1974). Some of them achieved a status akin to that of “minority” religions in the form of regional bilingualism (e.g., Saami in northern Norway, German in Italy’s Alto Adige), and in rare instances, parity (Belgium, Switzerland, Canada). Overall, where it exists, linguistic pluralism has come about exclusively as the result of political settlements among indigenous groups – notably a condition for the amalgamation of diverse cantons into the Helvetic Confederation, a century-long struggle on the part of Belgian speakers of Flemish / Dutch with their French / Walloon compatriots for full national bilingualism, recognition of Catalan as a legal provincial language in Spain.

By virtue of the hegemonic position the states of Western Europe achieved in the modern world system, linguistic unity came to be equated with modernity and emerged as a global norm that shaped policy among the states arising from European settlement in the Americas and Oceania, notably the United States, where the founders expressed concern over the persistence of German-speaking communities, as well as among the modernizing empires of the European periphery and, later on, the post-colonial states of Asia and Africa.² Paradoxically, however, Europe’s equation of state with nation encouraged distinct language groups to challenge established states on the grounds that they were entitled to political autonomy.

Although language has long been of concern to states because of its instrumental and symbolic functions, issues of language policy have recently achieved unprecedented prominence as a concomitant of the expansion of governmental activity and of citizen participation, as well as of the advent of post-industrial conditions that enhance the value of linguistic skills (Bell 1973). Among older self-acknowledged multilingual countries such as Belgium, Switzerland, and Canada, historical arrangements reflecting earlier power relationships between the groups have been called into question. This is true also of situations involving surviving indigenous minorities such as the Saami in Norway and Native Americans in Canada, the United States, or the Pacific region.

A basic policy choice is whether or not to declare one or more languages “official.” Among older states in which one language was clearly dominant, as in the Netherlands, the United Kingdom, Italy, or the United States at the time of the founding, the default stance was silence, reflecting the fact that the language’s hegemonic status was taken for granted. In relation to this baseline, the specification of an official language expresses determination to maintain or reinstate the status quo in the face of changing practices or perceived challenges. In this vein, in the last two decades of the twentieth century, approximately half the states of the United States adopted laws or constitutional amendments declaring English their official languages, and there were efforts to enact a constitutional amendment to that effect at the national level as well. In 1992 the French government also initiated a constitutional amendment establishing French as the national language. Among multilingual states, officialization, which usually involves an invidious distinction between privileged languages and others, signifies the establishment of a language regime providing general rules for policy-making.

Whether or not a regime has been formally established, language policy involves at the minimum a determination of the language(s) in which public services are provided, ranging from the posting of street signs to the educational system and law-enforcement; of the linguistic qualifications of the appropriate officials and government employees; of the language(s) in which citizens can

exercise their rights, notably voting, contacting representatives or officials, or defending themselves against charges; and of the language(s) in which citizens are expected to exercise their obligations, such as military service, paying taxes, or maintaining business records subject to inspection. Language policy usually extends also to the specification of criteria for membership in the society, as expressed in linguistic requirements for naturalization and occasionally for immigration itself. Beyond this, the advent of the welfare state has drawn government deeply into the private sector, notably to monitor the appropriateness of language requirements for various types of employment and to insure the availability of consumer information, such as labels, to the several language communities. State intervention may extend to the regulation of language use in printed and electronic media, and even in private speech. The persecution of human beings merely for speaking their mother tongue is an extreme but unfortunately not uncommon manifestation of language policy.

In all types of states and at all levels of governance, language policy is often acutely controversial because almost any institutional arrangement entails an uneven distribution of costs to the individuals affected, considered as members of distinct language groups (Pool 1991; De Swaan 1991). The costs are both economic and psychological because language not only carries considerable weight as capital in the determination of socio-economic status (Bourdieu 1979), but is intimately linked to the formation of personal and collective identities. Overall, language institutions reflect prevailing class and majority-minority relations. The development of policy in this as in other spheres tends to be path-dependent: established conceptualizations, power relationships, and institutional arrangements, set a course from which it is difficult to depart; consequently, there is likely to be a considerable discrepancy between political and social conditions at the time these institutions were established and the present. Understandably, challenges to the status quo tend to arise from efforts by the disadvantaged to lessen the costs they bear. Characteristically, the resulting confrontations take on the features of a constant-sum game, even if the proposed policies do not impose very great economic costs on the larger

community, because those who hold the upper hand perceive the change as a decline of hegemony; relatively moderate costs may be inflated, and the new situation magnified into a threat to the community's integrity.

Patterns of language policy arises in the first instance from a population's actual socio-linguistic configuration, itself shaped by past policies. Relevant aspects include not only the number of languages and the size of the groups, but also their spatial distribution (territorially concentrated vs. dispersed); their socio-political power (languages that have achieved written form and are used in government, business, and science vs. others); and whether the relevant groups are native or immigrant. Other significant elements of the socio-linguistic configuration include the language groups' location in relation to the poles of political and economic development (center vs. periphery), as well as the relationship of language differentiation to the overall stratification system and other cultural signifiers, notably religion and physical appearance (Laponce 1987:120-35).

Another weighty factor is linguistic culture, the set of behaviors and beliefs a speech community has about its own language and the others with which it comes into contact; when political aspects are emphasized, this may be termed linguistic ideology (Schiffman 1996:5; Stevens 1999). The culture or ideology of the dominant group(s) naturally carries extensive weight in the determination of policy. A case in point noted earlier is the attitude of the French revolutionary leadership toward regional languages. Of special import with regard to policy-making in the contemporary period are the cognitive elements of linguistic culture, notably scientific discourse. For example, in the early twentieth century, British psychologists announced that bilingualism interfered with the development of intelligence. Reached on the basis of studies of Welsh children, their findings supported the maintenance of an educational system that brutally suppressed Celtic speech on behalf of total immersion in English. The harmfulness of bilingualism was subsequently confirmed by American psychologists investigating Japanese children in California and immigrant European children in New York, with similar educational implications. This theory prevailed until the

1960s, when Canadian research, undertaken in the context of a reformist national administration more responsive to French-speakers' grievances, not only exposed the studies on which it had been based as deeply flawed, but suggested instead that bilingualism produced beneficial effects (Hakuta 1986:14-27). The new theory was quickly invoked by Hispanic advocates of bilingual education in the emerging U.S. debate on the subject.

Language policy varies also as a function of the general political regime. As noted earlier, the historical expansion of political participation to encompass the more modest strata of the population generally imparted to language minorities greater weight in the political arena. This fostered demands to lower what they perceived as an unduly large share of language costs, by providing public services in additional languages as well as reducing or eliminating linguistic obstacles to upward mobility by way of education. Similar processes arise among hitherto authoritarian countries in the course of democratization. Another important regime variable is its degree of centralization. By virtue of the prevailing normative equation "language=nation," demands for greater equity tend to engender, sooner or later, aspirations to greater political autonomy, which are much easier to fulfill within a federalist framework (Switzerland, Canada) than a unitary one. Indeed, democratization has had the effect of moving hitherto centralized multilingual societies with spatially concentrated groups toward federalism (Belgium, post-Franco Spain). Devolution of authority to the regional level with concomitant control over linguistic policy generally satisfies demands for autonomy (Fishman 1986), but separatist aspirations may persist (e.g., Quebec), although they have not resulted in the breakup of existing states. The political dynamics arising from linguistic diversity and the accommodations to which they lead can be illustrated by the cases of Belgium and Canada.

BELGIUM: Issued from the vagaries of Europe's dynastic tribulations and united by the Roman Catholic religion, the Kingdom of Belgium straddles the long-established boundary zone between Germanic and Romance languages. Around the time of the state's founding in 1830, approximately 60 percent of Belgians, concentrated in the north, spoke varieties of Flemish, closely related to

Dutch; and another 30 percent, concentrated in the south, used mostly varieties of Walloon, a group of Romance speeches with more Germanic root words than standard French. However, following the introduction of French as a language of state by their Austrian Habsburg rulers in the eighteenth century and the subsequent annexation of the Belgian provinces by revolutionary France, the traditional elites of both regions, amounting to perhaps 10 percent of the population altogether, had by then mostly adopted French as their usual language. It is therefore hardly surprising that the founding elites unhesitatingly imposed French as the country's sole official language. French exclusively was used in government as well as secondary and higher education, limiting Flemish to local administration and terminal elementary education in the north, and relegating Walloon to the margin. The capital city, albeit situated within the Flemish region, functioned as a French-speaking transmission center. The resulting linguistic inequality was compounded by the location of the coalmines that stimulated the country's precocious industrialization were located in the south. Hence until well into the twentieth century, Flemings striving for upward mobility had no choice but to learn French, and in time many of them abandoned their ancestral language altogether (Zolberg 1974).

Demands for change arose in the second half of the nineteenth century from the ranks of the Flemish region's expanding middle class, severely disadvantaged by the burden of having to function in French rather than in their mother tongue. Initially demanding recognition of Flanders itself as a bilingual region, they subsequently shifted to the establishment of official bilingualism at the national level. This was formally achieved in 1897. Nevertheless, Flemish retained in effect second-class status; most notably, university education continued to be conducted exclusively in French, and French remained the language of command in the army. In the early decades of the twentieth century, the more radical wing of the Flemish movement took on a nationalist cast, demanding the establishment of a federal system; a few even advocated secession, possibly followed by reunion with the Netherlands. However, Flemish nationalism was tarnished by its association with German occupation in the two

world wars. In the mid-1930s, a language settlement was achieved whereby the country was divided into two unilingual regions, with a bilingual capital district and national administration; as part of this, the state university at Ghent was converted into a Flemish-language institution. However, language conflict returned to the fore in the post-World War II period, and Flemish power increased as the economic standing of the regions reversed. After a lengthy period of perennial low-level conflict, in the 1970s Belgium finally transformed itself into a complex federal system, with a language regime founded on dual monolingualism rather than bilingualism. The country was divided into two regions and Brussels with regard to economic decision-making, as well as into linguistic / cultural communities (Flemish, French, and a small German one)) with regard to educational and cultural policy. Parity prevailed at the center, with a mandatory equal number of cabinet posts allocated to each of the two major communities and parallel administrative services in the two languages (Zolberg 1976; Witte & Craeybeckx 1987:423-518).

CANADA: Paralleling the status of Flemish in Belgium, Quebec French was long associated with backwardness in the eyes of Canadian officials and English-speaking businessmen, as well as their U.S. neighbors, despite the near-identity of its written form with standard French. Paradoxically, however, the conquered French Canadians fared better under Protestant British imperial authorities than the Flemings at the hands of their own countrymen. As part of the post-conquest settlement, in an attempt to secure French Canadian loyalties in the impending conflict with the unruly American colonies, the British granted the Roman Catholic church and the Province of Quebec a considerable degree of autonomy. This enabled French Canadians to create from the very outset institutions of secondary and higher education that enabled them to form a professional middle class in their own language. Although many educated québécois learned English, outright language shift occurred only among those who left the province. After a period of direct rule imposed in the wake of proto-nationalist agitation, the establishment of the Confederation in 1867 accorded Quebec along with the other provinces a degree of self-government long

unthinkable in centralized Belgium (Latouche 1977). Consequently, around the turn of the twentieth century French Canada possessed many more of the elements of a distinct society than did Belgian Flanders. Nevertheless, in the 1960s, lingering insecurity concerning the survival of Quebec's distinctive culture within the anglophonic North American environment stimulated a movement for outright independence, which experienced electoral ups and downs in the last three decades of the twentieth century. This prompted in turn reforms to implement full linguistic and cultural parity at the federal level, as well as perennial negotiations to provide Quebec with yet greater autonomy within the Canadian framework (Burnaby & Ricento 1998).

FRANCE: France provides an interesting example of issues arising from the persistence of regional languages, notably Breton, Alsatian, Occitan, Basque, and Corsican. Notwithstanding the country's Jacobin centralist tradition, successive republics have also cultivated the image of a nation consisting of "small fatherlands" and embraced a domesticated version of regionalism in the form of folklore, often expressed in the regional languages (Thiesse 2000). The Loi Deixonne (1951) provided for the limited teaching of these languages as optional subjects in public secondary schools and the identitarian quests of the 1970s fostered demands for the expansion of such programs. Endorsed by a new leadership within the Socialist Party formed by the movements of the 1960s, they were translated into policy when it gained power in the 1980s and again in the 1990s. As of 1997, about three percent of pre-university students in both public and private education were enrolled in regional language classes (Le Monde, July 21, 1999). However, in reaction to this, in 1992 a Gaullist government enacted a constitutional amendment declaring French the national language and invoked the amendment four years later as grounds for refusing to sign the European Charter of Minority Languages, on the grounds that this would undermine the hegemony of French (Wexler 1996). Language policy was made into an electoral issue the following year, when Socialist leader Lionel Jospin expressed support for linguistic and cultural pluralism and after his victory, France signed the Charter, albeit with some reservations. The Socialist

government also granted an unprecedented degree of autonomy to Corsica and announced a plan to offer bilingual education to the island's population.

PART TWO: THE CHALLENGE OF CONTEMPORARY IMMIGRATION

The sharp contrast conventionally drawn between overseas “nations of immigrants” and Europe’s “endogenous nations” is founded on two misunderstandings that mirror each other: on the one hand, current immigration is more of a new phenomenon for the United States, Canada, and Australia than Europeans assume; but on the other, most European countries have had more experience with immigration and cultural diversity than they usually acknowledge.

In the United States, for example, because of the draconian restrictions imposed on immigration from Asia and Europe in the 1920s, as well as the effects of the Great Depression and of World War II, the proportion foreign-born in the total population declined steadily until it bottomed out in 1965 at a mere five percent, the lowest level since 1830 (Fix & Passell 1994). In relation to this, developments of the last three decades constitute a startling departure: the foreign-born population grew threefold from 9.6 million in 1970—the lowest level since 1900—to 28.4 million in 2000, and as a proportion of total population it more than doubled, from 4.7 percent in 1970 —the lowest since 1850— to 10.4 percent in 2000 (U.S. Census Bureau March 2001). Yet in 2000 the U.S. was much less of an “immigration country” than Canada (19 percent foreign-born of the total population), New Zealand (22.5) and Australia (25), and does not even appear in a list of the world’s 15 top immigrant-receiving countries. The only European country that does is Switzerland, whose proportion equals Australia’s although not of concern here (International Organization for Migration 2003:306).

The diversity of contemporary immigration is indicated by the fact that whereas in 1960 the top five source countries for legal immigrants in the U.S. were, in descending order, Mexico, Germany, Canada, the United Kingdom and Italy, in 2000 Mexico remained at the top, but the next four were the Philippines, Vietnam, the Dominican Republic, and Korea.³ Among foreign-born residents in

2000, Mexico was in the lead (7.8 million), followed by China (1.4), the Philippines (1.2), India (1.0) and Cuba (1.0). The new immigrants are also more diverse than their predecessors with regard to socioeconomic characteristics: while newcomers as a whole are less educated than natives, a higher proportion than ever before are university-educated. On the other hand, in some respects, today's immigrants are not as heterogeneous as their predecessors; since 1970, some 40 percent have originated in countries whose official or dominant language is Spanish, and most of these are Roman Catholics.

On the European side, around the turn of the twentieth century, the industrial leaders had substantial numbers of foreign residents, mostly temporary workers but also some refugees, some of whom turned into permanent settlers (Moch 1992; Simon 1995). The most startling case is France, whose foreign-born proportion reached 7 percent in 1930 --about the same level as today-- and where nearly one out of every four nationals has at least one immigrant grandparent from Italy, Belgium, Spain, or Poland (Noiriel 1988; Tribalat 1991; Hargreaves 1995). In the same vein, about ten percent of the white population of Great Britain is of immigrant descent, mostly Irish but also eastern or southern European; a substantial number of Germans trace their origins to French Huguenot refugees of the seventeenth century or Polish workers of the late nineteenth; and a considerable number of Swiss are the children or grandchildren of early twentieth-century "guest workers" who stayed on, mostly Italian. European responses to the challenge of incorporation in the first half of the twentieth century ranged extremely broadly, from forceful assimilation and accommodation to rejection, "ethnic cleansing," and industrial genocide.⁴ A new immigration era dawned in the post-World War II period, with the influx of Commonwealth immigrants from the Indian subcontinent and the West Indies to Britain in the 1950s and 1960s, as well as of "guest workers" or their informal equivalent to continental countries in the 1960s and 1970s. Concurrently, there was a steady broadening of source countries. Starting from the less developed parts of Europe itself (Italy, Spain, Portugal, Greece), they expanded to include the adjoining periphery (notably Turkey and Yugoslavia), and regions of the Third

World historically connected with Europe by way of colonialism, including the West Indies --sometimes involving citizens, as with Puerto Ricans in the U.S.-- South Asia, Southeast Asia, the Maghreb, and sub-Saharan Africa. The proportion of non-European immigrants grew steadily, and more of them were Muslims. With over over 8 million adherents, Islam now constitutes by far the largest immigrant religion in Europe, and the third largest overall (Nielsen 1995).⁵

Although Western European countries tightened their gates in the wake of the oil shock and ensuing economic downturn in the early 1970s, most of the foreign workers brought in originally as temporary sojourners stayed on, and subsequently brought in their immediate families. There was a new influx of asylum-seekers from the Third World in the 1980s; and in the 1990s, of victims of the war and “ethnic cleansing” in former Yugoslavia. Many of the asylees turned into family settlers as well. Though most receivers have tightened their admission policies, there is a continuing trickle of legal family reunion as well as a certain amount of illegal immigration. Because it is generally younger and the females are more fertile, the immigrant population or of recent immigrant origin is growing at a considerably higher rate than the hosts.

Although precise comparisons are difficult because of different methods of enumeration, it is clear that at the end of the twentieth century, the extent to which West European countries may be termed “immigration countries” varied considerably: from a high of 57.3 percent of the labor force in Luxembourg and 25 percent foreign-born in Switzerland, to 2.5 percent foreign-born of the total population in Finland (and only 1.5 percent of its labor force). Leaving aside the outliers, most countries had an overall population of between 5 and 10 percent foreign born, and the proportion foreign in their labor force was slightly lower (e.g., Netherlands 3.4 percent, United Kingdom 3.7, Denmark 4.4, France 5.8, Belgium and Germany 8.8, Austria 10) (OECD 2002). Although inclusion of the naturalized population and of members of the second generation who are citizens would make for a somewhat larger population of “immigrant origin,” this category would be relatively smaller than its American equivalent, suggesting

that quantitatively speaking, Europe's "incorporation load" is somewhat lighter than that of the United States, and certainly Canada, Australia, and New Zealand.

In short, although there is no denying that immigration played a very different role in the development of the United States and Europe, uncritical acceptance of the essentialized distinction between "endogenous nations" and "nations of immigration" fosters ignorance of Europe's historical encounters with immigrant populations, for better and for worse, and clouds the largely parallel objective experiences of Europe and the United States in the second half of the twentieth century. It is all the more striking, therefore, that debates over incorporation on both sides of the Atlantic remain imprisoned within such divergent mythic constructions.

Immigration leads inevitably to heated discussions about how boundaries between "us" and "them" might be drawn or erased. On one side of the Atlantic, the passions awakened in 1989 by the Rushdie affair in the United Kingdom and the "headscarf" affair in France denote a simmering confrontation between "Christian" Europe and "intruding" Islam; in the United States, the issues raised by the English-Only movement point to an equally dramatic clash between "Anglo-America" and the "invading" Spanish language. As major foci of tension and contention, Islam and Spanish are metonyms for the dangers that those most opposed to immigration perceive as looming ahead: loss of cultural identity, accompanied by disintegrative separatism or communal conflict.

A consideration of the emergence of particular elements of culture as the focal points of contentious debates provides an entry point into the dynamics of inclusion and exclusion more generally. At the heart of all debates about incorporation are the twin questions: How different can we afford to be? How alike must we be? Negotiations about these matters in turn center around identity issues: Who can become a member of society, and what are the conditions for membership. Although collective identity-formation is commonly conceptualized as a self-referential process (Anderson 1983; Greenfeld 1992), it usually also involves self-conscious efforts by members of a group to distinguish themselves

from whom they are not, and hence is better understood as a dialectical process whose key feature is the delineation of boundaries between “us” and “not-us” (Barth 1969). The process of incorporation can be thought of as the negotiations in which hosts and immigrants engage around these boundaries.

Underlying the familiar distinction between “assimilationist” and “multicultural” stances is a variable pertaining to different ways of negotiating boundaries (Bauboeck 1994).⁶

1. *Boundary maintenance*: This entails the maintenance of the boundary itself as a prominent dimension of social organization, and the incorporation of the immigrant group in a subordinate position, which usually included the denial of citizenship or its equivalent (Zolberg 1987).⁷ Commonplace in colonial societies, this took the form of slavery in North America, and subsequently of “plural societies” in the West Indies, Southeast Asia, and East Africa, involving distinct layers of natives, imported plantation workers (in the case of Asians, often referred to as “coolies”), and European settlers or overseers. Although the commitment of contemporary democratic regimes in Europe and North America to human rights rules out such arrangements, most have recurrently attempted to maximize the economic benefits of imported labor while denying these workers the rights of citizenship. Much of post-World War II European immigration originated in this fashion, as did a considerable portion of Mexican immigration into the United States. Although in most cases, such arrangements proved unstable, and a large portion of the workers eventually gained access to permanent residence, i.e., became immigrants, including the possibility of obtaining citizenship, the residue of this “wanted but not welcome” pattern creates obstacles to their full incorporation, notably a legacy of stereotypes of these immigrants as cultural inferiors, unsuitable for full membership.
2. *Individual boundary crossing*, without any change in the structure of the receiving society and leaving the distinction between insiders and

outsiders unaffected. This is the commonplace process whereby immigrants change themselves by acquiring some of the attributes of the host identity. Examples include replacing their mother tongue with the host language; naturalization; and religious conversion. Where this is the dominant pattern of incorporation, it is appropriate to speak of “assimilation” (i.e., rendering similar).

3. *Boundary blurring*, based on a broader definition of integration -- one which affects the structure (ie. the legal, social and cultural boundaries) of the receiving society. Its core feature is the tolerance of multiple memberships and an overlapping of collective identities hitherto thought to be separate and mutually exclusive; it is the taming or domestication of what was once seen as “alien” differences. Examples include formal or informal public bilingualism, the possibility of dual nationality, the institutionalization of immigrant faiths (including public recognition, where relevant).
4. *Boundary shifting*, which denotes a reconstruction of a group=s identity, whereby the line differentiating members from non-members is relocated, either in the direction of inclusion or exclusion. This is a more comprehensive process, which brings about a more fundamental redefinition of the situation. By and large, the rhetoric of pro-immigration activists and of immigrants themselves can be read as arguments on behalf of the expansion of boundaries to encompass newcomers whilst that of the anti-immigrant groups as an attempt to redefine them restrictively in order exclude them.⁸

Boundary-shifting can occur only after substantial boundary-crossing and boundary-blurring have taken place; however, it does not necessarily follow in their wake because of the possibility of negative reactions, as noted.

Negotiations under way in the Netherlands today might eventually result in a shift of the boundary of recognized religious “pillars” to include Islam. Paralleling this, the contemporary spread of Spanish in some regions of the United States may

prepare the way for a more explicit reconfiguration of these regions into bilingual entities, at least in some aspects of daily life.

Both boundary-crossing and boundary-shifting involve a “liminal” phase, fraught with awesome tension because it involves an “unnatural act,” the transformation of strangers into members, of the “not-us” into “part of us” (Turner 1969). Thus, an acceleration of boundary-crossing and of boundary-shifting can provoke negative reactions on the part of the hosts, leading to a crystallization of boundaries, the imposition of conditions that render crossing more difficult and blurring impossible, and perhaps even a redefinition of the host identity amounting to a shift of the boundary in a more exclusive direction. Past examples include the changing status of the Japanese in the United States in the early decades of the century --from the “blurred” boundary established by way of the Gentleman’s Agreement of 1907, whereby the Japanese were not formally excluded on condition that Japan restrain their emigration, to their formal exclusion alongside all other Asians in 1924. Notorious European instances include the de-nationalization of Jews by the Nazi régime and the invalidation of certain naturalizations by Vichy France. More recently, the acceleration of boundary-crossing and boundary-shifting has given rise to reactionary movements and parties, some of which have scored significant political victories and thereby contributed to slowing down movement toward more proactive incorporation policies (Schain, et al. 2002). Concomitantly, some of the newcomers may react to increased boundary-crossing opportunities by resisting the “temptation” of identity change, manifested in the adoption of “traditional” dress or adherence to more conservative or even “fundamentalist” versions of their religion. This is by no means limited to immigrants from the Middle East or Asia, as denoted by the persistence of super-orthodox Jewish communities on both sides of the Atlantic.

Though incorporation is an interactive process, involving both hosts and newcomers, the actors are not equal: since the negotiations take place in the host country, power relationships are generally asymmetric in favor of the host majority, which naturally has the upper hand. In the cultural realm, host values

and traditions are firmly implanted and benefit from institutional support, while the immigrant minorities, who may differ initially with regard to a variety of cultural elements deemed significant by the hosts, notably religion and language, are at best in a liminal situation with regard to formal and informal membership in the host society, as well as institutional recognition.

While in the main, boundary crossings are the actions of immigrant newcomers, the emergence of “fusion” in music, food, art, dress, and even speech and manners, point to boundary crossings on the part of individuals from the host majority. This may contribute to the incorporation of immigrants in general by creating buffer zones of indifference to elements of imported culture formerly thought unacceptable, and some of these elements may even come to be positively valued and celebrated. In the same vein, though boundary shifts and blurrings of host structures have the most wide-ranging effects on immigrant incorporation, as pro-active and creative actors, immigrants do not only passively react to host decisions about structures of most relevance to them; their views of how boundaries should be drawn, crossed, shifted or blurred are part of the negotiations about boundaries. Though their voice might be muted as a function of their marginal position, the reinforcement of the rights of persons in liberal democracies, both as the result of internal political struggles and the spread of universal human rights, bolsters the legitimacy of the aspirations of immigrant newcomers; consequently, their views on issues involving their welfare carry greater weight in negotiations.

Boundary dynamics vary also as a function of the nature of different components of culture (Bader 1996). Hence the cultural dimension can itself be disaggregated into discrete elements, each of which can be considered in terms of the “polar situations” it allows. This can then be used for mapping negotiations. With regard to language and religion, different issues are likely to arise, hence the conflicts are likely to take different forms, and different sorts of “settlements” will be achieved.

Religion:

Although the contemporary incorporation of human rights into national legislations vastly facilitates boundary blurring, issues like the content of public education or the development of religiously based schools --which in many countries benefit from public subsidies-- involve complex negotiations, with many opportunities for difficulties and confrontations. The baseline is that contemporary liberal regimes are bound by their own constitutive rules of religious freedom not to require conformity to the majority religion as a condition for formal membership (i.e., citizenship). As interpreted today, religious freedom usually means also that no particular affiliation can be required for employment, and in most cases that the state must intervene actively to protect persons against discrimination on religious grounds. Beyond this, in practice religious freedom encompasses “freedom to” in addition to “freedom from” –a distinction drawn from Isaiah Berlin— involving the possibility of meeting religious obligations, if any, in ordinary life. Since much of ordinary life today involves state-regulated elements, such as mandatory school attendance and specified working hours, this may require the state to intervene, willy-nilly, to insure appropriate arrangements.

In this manner, the constitutional obligations of liberal regimes to respect religious freedom move contemporary possibilities away from assimilation toward the pluralist pole. However, the pluralist extreme, in the form of separate communities with concomitant legal systems, such as was found in the Ottoman Empire (and is still visible in its successor states), is ruled out because it is incompatible with the structural character of modern nation-states. Consequently, under contemporary conditions, negotiations within the religious sphere lead to a range of possible settlements that might be termed attenuated pluralism. This includes: equitable funding policies or tax exemptions, access to established forms of legal recognition, regular consultation of public authorities with representatives of religious communities, sensitivity in the field of marriage and family law including recognition of religious marriage ceremonies or delegation of the civil authority to a religious community in specified circumstances, the provision of appropriate burial facilities, chaplaincy facilities in

public institutions such as the military, prisons and hospitals, multi-faith knowledge-oriented religious education in schools, as well as the possibility of taking holidays in conjunction with religious festivals.

Negotiations over incorporation are rendered much more difficult when the religious boundary, as constructed by the hosts, is compounded by ethnic or “racial” differences. In the United States, for example, the issue of Roman Catholicism was propelled to the fore by the massive arrival of Irish immigrants in the 1830s. For thoughtful Americans who believed that their democratic republican culture was grounded in Protestant congregationalism --a view which Alexis de Tocqueville erected into a leading political theory-- Roman Catholicism was dangerous because it was an inherently authoritarian religion, as confirmed by the papacy’s contemporaneous condemnation of liberalism and democracy. However, their responses to immigration were shaped also by the image of the Irish as the ethnic “other” in the formation of the English national identity. Accordingly, the process of incorporation involved protracted negotiations over both religious and ethnic boundaries: eventually, the Irish became “white” (Ignatiev 1995), and Roman Catholicism came to be recognized as one of three American religions; this pattern of accommodation was eventually extended to Jews as well (Sacks 1994). In Europe, a similar crisis was precipitated by the arrival of Jews from eastern Europe in the latter part of the 19th century. Although the religious boundary had begun to blur, as indicated by the fact that nationals of the Jewish faith gained full rights as citizens or subjects in many countries, immigration prompted the elaboration of a “new antisemitism,” which emphasized the negative traits of Jews as a “race” rather than as Christ-killers (Pulzer 1964; Herberg 1960 [1955]). This construction culminated in the Nazi Nuremberg laws, which discarded religious affiliation altogether on behalf of ancestry, simultaneously sharpening the boundary and relocating it toward the exclusionary pole.

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Although incorporation into the host society always involves some combination of boundary-crossing, boundary-shifting or boundary-blurring, these processes unfold differently for various cultural elements. To begin with, language and religion manifest themselves in very different ways materially and organizationally in the public and private spheres. Secondly, whereas liberal regimes in contemporary times are required by their own constitutions and by international conventions to respect some cultural differences, and even to provide protection from discrimination on these accounts, this does not apply equally to all aspects of culture, and enjoyment of “rights” entails different arrangements in different spheres.

Islam: Despite Islam’s long-time presence in the West, it arose on the political agenda only in the 1980s. In Europe, large-scale immigration from Islamic countries began in the 1950s, with the arrival of Pakistanis in Britain and of Maghrebis in France and Belgium, and expanded further in the 1960s with the coming of Turks to Germany and the Netherlands. On the continent, the cultural identity of these newcomers initially arose little interest because they were viewed essentially as temporary workers, whose incorporation was limited to a

subordinate role in the economic sphere (Castles & Kossack 1985). Living in an overwhelmingly male and segregated environment, with little or no opportunity for engaging in a normal life, they lent support to the convenient assumption that they were as secular as the rest of the West. Their religious practice, if any, was seen as a private matter, which presumably could be accommodated within the framework of established arrangements, notably time off from work on the week end (Nielsen 1992). Perceptions of Islam were shaped also by a conflation of religion and ethnicity, inseparable from the unfortunate reality of deeply embedded prejudice on the part of “whites” toward “browns” and “blacks,” most of whom were colonials or former colonial subjects. Islam became much more visible in the mid-1970s after Europe closed its doors to low-skilled labor migration but left open the possibility of family reunion. Transformed from single male migrants to families aspiring to permanent settlement, Muslim immigrant populations rapidly expanded (Dassetto & Nonneman 1996).

Concurrently, the hosts’ perceptions of Islam were also shaped by the changing international situation. In short, OPEC’s success in occasioning an oil crisis, the Iranian revolution and the American hostage crisis, the rise of Khadafi, Palestinian guerilla actions, the growth of Islamic fundamentalist movements, the Rushdie Affair, the Iran-Iraq war, the Iraqi attack on Kuwait that triggered the Gulf War transformed the image of Islam in the West from a “passive” into an “aggressive” civilization, while lending support to established “orientalist” beliefs, especially the idea that Islam is inherently incompatible with liberal democracy, and that individual Muslims function as docile instruments of ruthless secular leaders and equally ruthless “ayatollahs” (Huntington 1993). This was further confirmed by radical pronouncements such as that of Britain’s marginal but much publicized “Muslim Parliament,” which called for Muslims to abstain from participating in political life altogether because “Britain is not an Islamic state” --a stance which strikingly echoes the pronouncements of the Vatican in the mid-nineteenth century, causing Catholic immigrants to be regarded as a threat by Americans. Although Islam is not constituted as a centralized, authoritarian, international Church, it does share the universalist aspirations of the Roman

Catholic Church and diplomatic representatives of predominantly Islamic countries have influenced the funding and organization of mosques and their congregations abroad, so as to lend credence to the notion that adherence to Islam raises an obstacle to the integration of immigrants (Sunier & Meyer 1997).

With the emergence of Muslim families, the process of incorporation was broadened beyond the economic sphere to encompass cultural matters as well. As factory workers who appeared religiously indifferent --and in many cases were-- left the confines of all-male communities to take on new responsibilities as heads of families, they acquired a "pater-familial devotionism," acquiring new concerns regarding spiritual welfare, the maintenance of proper gender relations, and the education of their children (Dassetto & Nonneman 1996). Accordingly, the imperatives of Islamic prescriptions and practices arose not only in the home, but also into the public sector, raising issues which, as magnified by the media, appeared difficult, if not impossible, to resolve. Sectors like education, health and social services, areas which lie at the very center of family and identity, provide many examples of how torturous such negotiations might be. Many of the points of controversy revolve in particular around negotiations about how parents might pass on their Islamic heritage to their children. For example, tug-of-wars around issues like physical education, sex education and religious education in schools have been common in many places. Others areas of religious stress confronted by Muslims on a daily basis include improperly slaughtered meat, the difficulties they face in securing places of worship -- particularly in erecting mosques, the lack of provisions for circumcision, and indifference of employers and of the state in making space for Islam in the workplace (e.g. provision of rooms for daily prayers, modification of shifts to take account of fasting during Ramadan) (Césari 1997).

Having had little experience in public schools supportive of their religious traditions, and encountering much that is hostile to them, it is not surprising that Muslim immigrants voiced a growing demand for Islamic schools of their own. As the Muslim population grows older, other issues such as inheritance and mixed

marriages are likely to emerge as well, ensuring that issues surrounding Islam will not go away quickly or quietly.

The question facing Muslim immigrants and their children is not only how they can overcome such practical problems, but also how they can develop a sense of belonging and being comfortable about being not only “Muslims in Europe,” but “European Muslims.” According to some observers, this would require them to relinquish their “siege mentality” and “their sense of moral superiority and righteousness and seek[ing] new ways of empowering themselves” (Sardar 1995). However, this is a two-way process; much depends also on how the host majority responds.

Potential arrangements regarding Muslim demands are guided by established institutional arrangements in host countries. However, faced with pressures to accommodate religious demands brought up by Muslims, the European hosts --particularly in countries that have established Roman Catholic or Protestant churches-- have tended to hold back and grant them less than has been obtained by other religious minorities and sects under existing institutional arrangements (Carens & Williams 1996). On the other hand, in those same countries there is a tendency --reflecting bureaucratic preferences and expectations-- to treat Islam as if it were, or could become, organized along the lines of a centralized Christian church, with a hierarchical ministerial bureaucracy endowed with authority over the faithful. This has led to intra-Muslim conflicts over the privilege of representing the community vis-à-vis the host authorities.

Living in the context of a secularized Christian Europe, many Muslims experience European claims of religious freedom as a contradiction, since they receive little public facilitation and in fact face many practical difficulties in trying to live according to Islam (Pedersen 1996). From the immigrants' perspective, the hosts' positions appear quite remote from the “pluralist” pole that prevails in the religious sphere with regard to the varieties of Christianity and, to a lesser extent, Judaism. In addition to the often-voiced charge that local and national authorities fail to respect the principles of human rights as they pertain to their case, Muslims widely believe that legislation and administrative regulations and

practices are not being extended equitably to their own religious community, and that there is extensive ignorance and insensitivity regarding minority religious matters more generally. Muslims commonly suspect that there is a hidden policy to discriminate against Islam. Existing legislation against discrimination does not seem to protect them from the manipulation of planning and zoning laws to prevent the building of places of worship, nor from the exploitation of administrative procedures to deny public funding to their religious organizations, nor from the fact that immigration laws seem to be applied in such a way as to prevent cross-border families from celebrating rites of passage and major religious festivals together. Even at the symbolic level, "recognition" in some institutionalized fashion is elusive.

A particularly interesting and somewhat paradoxical case in point is the demand which was put forth by Muslims in the United Kingdom, in the wake of the Rushdie affair, to extend the existing anti-blasphemy law under which the state can prosecute those who insult the Anglican deity, to those who insult Allah. Were they to succeed, this would be a clear case of shifting the established boundary in the religious realm to encompass Islam. Similarly, satisfaction of demands to sanction "incitement to religious hatred" along the lines of existing legislation regarding "racial hatred" would involve the state positively in boundary shifting (Poulter 1990).

Conversely, the reluctance of European states to shift the boundary defining religions that deserve special respect or protection to encompass Islam suggests, as we argued in the introduction, that despite Europe's sociological secularization --indicated by low rates of religious practice-- all European countries retain Christianity as a major component of their identity. Or more accurately, in the wake of the Jewish Holocaust, a consensus emerged among liberal democracies on the unacceptability of institutionalized antisemitism, and the boundary was blurred by way of a redefinition of Christian civilization into Judeo-Christian civilization to incorporate Jews as fellow westerners. Boundary-crossing thereby became easier as well, and the vast majority of the descendants of Jewish immigrants became thoroughly socialized into their

respective national cultures. Jewish religious institutions evolved accordingly, and gained recognition within the appropriate framework of church-state relations. A telling indicator of this process are the assurances given by Prime Minister Edouard Balladur to leaders of the French Jewish community in 1994, following the Islamic “headscarf” affair, that the government’s decree prohibiting the wearing of “ostentatious” religious symbols in the schools did not apply to the cap worn by Jewish boys (Kapil 1997). Whether or not these negotiations have resulted in a fully inclusive boundary shift is still the subject of considerable debate among European Jews. What is quite clear, however, is that the religious boundary remains quite fixed in relation to Islam, and in some cases became more clearly defined in the course of confrontations.

On the basis of a comparative study of Britain, France, and Germany in the period 1973-2001, Koenig found that the public incorporation of Muslim immigrants follows specific patterns which depend on the legally institutionalized logic of religious politics that emerged from historically specific trajectories of state-formation and nation-building, thus confirming the conclusion of Jan Rath and his team, that the institutionalization of Islam is “to a far greater degree determined by the societies in which Muslims settle than by the Muslims themselves.” (Rath 2001). In the same vein, Christopher Soper and Joel Fetzer have established that the pattern of church-state relations –i.e., separation, concordatarian, and establishment— is the major factor explaining differences in the accommodation of Muslim religious practices in France, Germany, and Britain (Soper & Fetzer 2003). A first crucial factor is “the degree of the institutionalization of the idea of the ‘individual’ in each polity, as it affects the very definition of ‘religion’.” In corporatist polities, where rights are ascribed to corporate bodies, religion is regarded as a formal membership organization, which can directly be integrated into the state’s rationalising project. In statist and liberal polities, where the individual is the primary bearer of rights, ‘religion’ is perceived as an individual orientation organised in voluntaristic associations. As Koenig points out, “It is not by accident that conflicts about Muslim claims for recognition in Germany crystallise around legal questions of organisation, as

evinced by the notorious debate about the recognition of Islamic organisations as corporations of public law. . . , a problem which in Britain is of rather secondary relevance.” (6). A second factor is the degree of “stateness”: “In nation-states oriented toward statist or corporatist polity models, such as France and Germany, the incorporation of Muslim minorities is co-ordinated by the organizational center of the state, while in liberal polities, such as Great Britain, it rather takes the form of civil negotiations, mostly at the local level.” Most notably, the French government’s attempt to create a central representative organization of Muslims, discussed below, “would be inconceivable in Britain” (7). The third factor is the relationship of symbols of national identity to European meta-narratives of “secularization”: “In so far as universalistic symbols of national identity are connected to ideologies of secularism, as in the case of French *laïcité*, explicitly religious claims for recognition are conceived as transgressing the symbolic boundary between the ‘religious’ and the ‘secular’ and, hence, as polluting the symbolic centre of the nation” (7). As against this, polities where nation-building was sustained by collective religious or confessional mobilization, as was the case in Britain and Germany, are in principle open to religious symbols.

There is considerable variation in the extent to which Muslim newcomers and their European hosts have succeeded in negotiating routine procedures for dealing with the demands of Muslim daily life. Overall, some progress along these lines has been achieved in the United Kingdom --where matters like planning permission for mosques, permission to perform religious slaughter, sites for Muslim burial and the like were routinely settled by the early 1990s (Vertovec 1996). Although there were no state-funded Islamic schools, some 15-30 independent schools were established and maintained with private funds (Sardar 1995; Dwyer & Meyer 1996). There was a breakthrough in early 1998, when the Labour Government approved two schools for state funding (New York Times, Jan. 10, 1998: A6). In the Netherlands and Belgium, the organization of society and polity into ideological/confessional “pillars” is potentially accommodating to Muslim demands; however, Islam has not quite achieved a status equal to that of

the other pillars. Although the number of state-funded Islamic schools in the Netherlands is the highest in Europe (29 in 1996) some upper limit seems to have been reached. In July 1997, the Council of State upheld the decision of the Ministry of Education to prohibit the setting up of a seventh Islamic primary school in Amsterdam (Dassetto & Nonneman 1996; Dwyer & Meyer 1996; Gowricharn & Mungra 1996). (Migration News Sheet, August 1997: 20). Nevertheless, the Netherlands appears to have achieved a well-honed negotiation mode, undoubtedly facilitated by the long tradition of “pillarization” which provides effective parity to major faiths and provides for the minor ones to be in effect “hosted” by the major ones (Kraal 2003).

FRANCE: Islam emerged as a major religion in France with the settlement of immigrants from Algeria, who included both “guest workers” who began to be recruited in the 1950s as well as personnel of the colonial militia (harkis) who were evacuated at independence; France received additional Muslim immigrants from its former protectorates in Morocco and Tunisia, as well as from sub-Saharan Africa, notably Senegal and Mali. So long as the Muslim population consisted mostly of male adult workers, living largely in dormitory-type housing on the outskirts of cities, religious practice usually took place within the housing complex or at work, for example in the famed Renault factory on the edge of Paris. However, in the 1970s, while cutting back on immigration, France allowed for family reunion; and as immigrant families tended to have more children than their French counterparts, the “population of immigrant origin,” as it is termed in France, grew steadily as a proportion of the total, with the increase most noticeable among among youths (Césari 1997; Gaspard & Khosrokhavar 1995; House 1996; Kapil 1997).

By the 1980s, France had by far the largest Muslim population of any country in Western Europe, and there were indications of their increasing religious practice, possible a reflection of a shift to family life styles. According to a 2001 survey, 70 percent of French Muslims fasted during Ramadan, as against only 60 percent in 1989 and 1994; as expected, the frequency of religious

practice was higher among older persons (84 percent for those over 55), but more surprisingly, it was also higher among the 16-24 age group (74 percent), confirming other observations suggesting that Islam was becoming an “identity marker” among adolescents (Le Monde, 5 Oct. and 17 Nov. 2001). In the same vein, a study carried out in 2000-01 found that students of North African origin defined themselves principally as Muslims, rather than on the basis of their ancestral country or current place of residence. While not contesting the secular nature of school, 63 percent favored displaying religious symbols in school, as against only 28.5 percent of non-Muslims (Le Monde, May 13, 2002).

In addition to the general problems of residential segregation and discrimination, which French Muslims face along with other immigrants throughout Europe, practicing families experience what might be termed “routine operational difficulties” such as securing properly inspected and safe halal meat; obtaining halal food in institutional eating facilities such as schools, hospitals, or working places; a shortage of Muslim burial facilities; negative reactions to requests for absences from school or work on the occasion of Muslim holidays, etc. The most persistent problem concerns the shortage of suitable places of worship. The first guide to French Mosques, published in 2003, listed 1,554 places of worship, of which the vast majority were located in disaffected warehouses or factories, or in improvised spaces within residential buildings, most judged too small for their congregations. Nationwide, there were only about ten mosques built for that purpose, including the “Great Mosques” of Paris, Lyon, Mantes-la-Jolie, and Evry-Curconnes (Le Monde, January 25, 2002; April 21, 2003).

Within the educational sphere, matters came to a head in October 1989, when three young women were expelled from their secondary school because they wore a head scarf in class. Justified by the school’s principal on the grounds that it violated the separation of church and state, the expulsion triggered a vociferous public debate, in which it was pointed among other things that there were no such reactions to the wearing of crosses, a commonplace practice of French girls, or of the wearing of caps by some Jewish boys. The Socialist

government's Minister of Education requested the Council of State to issue a ruling, and on November 27, 1989, the Council stated that "The wearing of religious tokens is not in itself incompatible with secularism [laïcité], on condition that they not have an "ostentatious or militant" character." How that was to be established was not specified, however, leaving it in effect to local interpretation.

In keeping with this opinion, the following month the Ministry of Education issued a circular to the effect that religious beliefs are a matter of individual conscience and are free, but that the respect of pluralism and the neutrality of public service requires that the educational community be protected from ideological or religious pressures. In no case could a student refuse to study certain parts of the school program nor to avoid classes on religious grounds; however, "certain authorization for absences can be granted exceptionally and for certain particular days to the extent that they correspond to well established religious holidays within an established calendar and without occasioning disturbances in school operations." Matters subsequently cooled down; the expelled girls were reinstated, and local administrative courts tended to void subsequent expulsions occasioned by the wearing of scarves as well. In 1994, the Minister of Education appointed a "mediator" to deal with such cases, but the number of cases brought to her attention remained quite low, seldom exceeding 150, and none to date (November 2003) gave rise to an appeal to the Council of State.

In the intervening period, increasingly concerned over the influence foreign governments, notably Algeria and Saudi Arabia, exercised over Muslim residents and citizens by funding the operations of local mosques, successive French governments contemplated the elaboration of "French" Muslim institutions. Despite France's highly touted "separation of church and state" regime, religious institutions have an official public standing which would be totally unacceptable under the U.S. version of separation. This is derived from the model established by Napoleon in his negotiations to reinstate the Roman Catholic church in the wake of the French Revolution. Subsequently, the two major Protestant faiths as well as Judaism were organized in keeping with the

Concordat's "centralized national church" model, despite their traditionally congregational structure. These arrangements were maintained after separation of church and state was enacted (1905), whereby places of worship became public buildings, in exchange for which the state assured their maintenance. Religious organizations could also obtain public support by constituting themselves into public interest organizations (under the law of 1901). From the perspective of the Muslim community, "recognition" of an official body of French Islam would help resolve a number of the operational problems noted, as it could negotiate, among other things, for the provision of land by way of inexpensive leases from municipalities as well as subsidies toward the construction of new mosques, and the resolution of other issues such as the provision of Muslim chaplains in hospitals and prisons (Sunier & Meyer 1997).

While the separation regime remained most vigilantly enforced in the educational sphere, largely because of the militant commitment of the teachers' unions to this principle, pressure from Catholic voters in the 1980s resulted in a major change of policy, whereby private schools with a religious identity can obtain support from the state to the extent that they administer the official curriculum, open themselves to inspection, and do not limit enrollment to members of their faith. This provides the signal advantage of enabling them to charge only modest tuitions. The first Muslim high school under this type of arrangement, launched by Dhaou Meskine, the Tunisian imam of the mosque of Clichy-sous-Bois in the Paris region, opened in 2001 in Aubervilliers (*L'École de la réussite*, "The School of Success"). Two others are currently in the planning stage.

With regard to the nationalization of Islam, governmental initiatives finally got off the ground in 1997 following the appointment of Jean-Pierre Chevènement as Socialist Minister of Interior, who organized an advisory commission to that effect (Caldwell 2000). At this time, French Islam was divided into three major wings:

(1) The Great Mosque of Paris, generally considered close to the French government. Its main strength is a network of some 100 scattered imams paid

by Algeria. However, many do not speak French and hence have difficulty maintaining contact with younger generations; moreover, the Paris Mosque has not engaged in the elaboration of local associations, hence has little hold over the suburban populations.

(2) The Union des Organisations Islamiques de France (UOIF), affiliated with the Union des organisations islamiques européennes. Launched in 1983 by two Tunisians to challenge the hegemony of the Paris mosque, it was propelled to national attention on the occasion of the “scarf affair” of 1989. Its more recent leadership is mostly Moroccan. Deriving its doctrine from the Egyptian-based Muslim Brotherhood, although its leaders insist they are not “dependent” on the Egyptian organization, the UOIF is considered “mysterious” and hence “dangerous” by the government. It cannot be easily located on the “fundamentalism” scale, as it combines religious rigor with modernism, insisting on the necessity for Muslims to adapt themselves to their social and cultural environment. This is demonstrated among other things by the imams’ use of French in weekly sermons. By the late 1990s it had emerged as the most powerful Muslim federation thanks to its dense network of some 200 local associations, organized into eight administrative federations, as well as specialized organizations catering to youths and students. Its annual congress held at the site of the former Paris airport, Le Bourget, is a major event drawing large attendance from all over France (Le Monde Dec. 12, 2002).

(3) The Fédération nationale des musulmans de France, supported by Morocco, with considerable strength in a variety of provincial cities.

Chevènement’s strategy was essentially to contain the UOIF by promoting an alliance between the “Algerians” (Paris Mosque) and the “Moroccans” (FNMF). On January 28, 2000, an initial group of Muslim representatives signed a preliminary cooperation agreement toward the creation of a national body, and a number of others joined in on April 20. However, negotiations then proceeded haltingly for the next two years, as the UOIF, making the most of its strength at the grass roots level, succeeded in preventing the making of any alliance at its expense.

Although governmental concern over foreign influences grew in the wake of 9/11, a survey of sermons preached in a variety of mosques between September 1999 and June 2001, conducted on behalf of the Ministry of Interior, was reassuring. Most of the imams --a majority of them Moroccan—stayed away from politics; while the older preachers focused mainly on instilling fear of hell, attributing the world’s woes to human sinfulness, while younger ones focused mainly on the obligations of citizenship, and emphasized the legitimacy of republican and secular norms as those imposed by France’s ruling majority (Le Monde, February 22, 2002).

Finally an agreement was reached in late 2001 on electoral procedures for the constitution of the Conseil français du culte musulman [French Council of the Muslim Faith]. Each place of worship was allocated a number of delegates proportional to the area of its prayer room, up to 15 for the largest, and 18 for the Great Mosque of Paris; these would constitute an electorate college, which will in turn choose representatives to a constitutive assembly on the basis of proportional representation and list voting. The resulting assembly will designate an executive board. There were also to be regional organizations, Conseils régionaux du culte musulman (CRCM) elected by “grand electors” designated by the mosques, again in proportion to the area of their prayer rooms; constituted into “1901 law associations”, i.e., privileged public interest corporations, these would play a decisive role in vital matters such as the provision of religious meeting places. Initially scheduled for early 2002, the elections were repeatedly postponed as the various tendencies maneuvered for advantage (Le Monde April 21, October 13, November 29, 2001; Feb. 21, April 25, May 3, 2002).

Intervening vigorously in what observers characterized as a “Napoleonic” style, in late 2002 Minister of Interior Nicolas Sarkozy abandoned his predecessors’ strategy and initiated a collaboration with the UOIF in order to bring it into the fold, shortly exacting an agreement among the three major tendencies, said to represent some 70 percent of France’s Muslim congregations, on the allocation of leadership positions within the CFCM for its inaugural two-year period. In effect, the outcome was decided ahead of time as

a condition for the elections to finally take place, with the Great Mosque of Paris obtaining the presidency and each of the other two major federations one of the two Vice-Presidencies. However, in the emerging co-management system engineered by the French government, relations were by no means equal, as the UOIF was clearly dominant by reason of its strength in the “Muslim suburbs,” and hence would emerge as the main intermediary between the Muslim population and the government. Revealing the growing ethnic disparity within French Islam, others to be represented included the Turkish community (Comité de coordination des musulmans turcs de France), allotted the office of Secretary General, as well as the West Africans, West Indians, and Indian Ocean islanders (Fédération française des associations islamiques d’Afrique, des Comores, et des Antilles, FFAICACA), granted the Secretariat for International Relations. In addition, the head of the Lyon Mosque was made treasurer. The CFCM’s governing board was subsequently further broadened to make room for the Mufti of Marseille as well as for a lone woman. Beyond this, there was agreement on a general assembly, to consist of some 200 members of whom 154 will be elected by the regions and 39 allocated to various organizations, including women’s groups. An administrative council will include 42 regional representatives, 12 of federations, as well as 5 for the great mosques (Le Monde, Dec. 10 and Dec. 21, 2002; Jan. 15, 2003).

Following some further negotiations, the elections were finally scheduled for April 6 and 13, before the UOIF’s annual meeting in the Paris region, so as to minimize its influence. In preparation, Minister Sarkozy as well as the leaders of the various tendencies assiduously campaigned, and President Chirac made a state visit to Algeria, pledging that France will establish “privileged relations” with its former colony (Le Monde, March 13 and April 4, 2003; New York Times, April 16, 2003). About 995 places of worship –some 75 percent of the estimated total -- participated in the selection of 4,032 “grand electors” empowered to choose the several executive bodies. Since the outcome of the national-level election had been pre-arranged, the electoral stakes pertained mainly to the regional assemblies. Against the government’s hopes, but in keeping with expectations

based on analyses of the federations' respective strengths and weaknesses, the UOIF emerged as the decisive winner thanks to its organizational power and by successfully negotiating coalitions to oppose those constituted by its antagonists to contain them. They performed even more spectacularly in the regional council elections held on June 15, prompting the President of the CFCM, Dr. Boubakeur, to tend his resignation –but only as a tactical move to exact some concessions from his opponents. Overall, the regional council elections decisively upset established relations between local government power holders and Muslim leaders in several of France's major cities, notably Marseille.

How effective the CFCM will be in mediating between France's Muslims and the government is by no means clear because in the aftermath of the elections a number of groups contested its representativeness. The UOIF itself was challenged from within its own religious camp by the Association des étudiants musulmans de France (AEIF). Although Minister Sarkozy expressed satisfaction with the outcome, the government appeared to engaged in maneuvering designed to undermine the CFCM's authority, as Amo Ferhati, adviser to Tokya Saïfi, Secretary of State for Development, organized the constitutive congress of the Conseil français des musulmans laïques – the French Council of Secular Muslims -- claiming to represent the “silent majority” of French Muslims and support from some 500 associations. It should be noted that the organization's apparently oxymoronic name further confirms to what extent “Muslim” has turned from a religious designation into a a quasi-ethnic identitarian one.

While the formation of a Muslim body paralleling the organization of other religious in France does constitute a form of “recognition” and hence constitutes somewhat of a boundary shift that will probably contribute to the further incorporation of Muslims into French society, this development has by no means resolved other pending issues. After a quiescent period, the “scarf” rose to the fore again in late 2002. In early December, during Ramadan, a girl attending secondary school in Lyon's prominent immigrant neighborhood was kicked out of one of her classes for wearing a small scarf formed into a headband, covering

neither her forehead nor ears. Although some other teachers accepted her, the principal convoked her parents and demanded that she stop wearing the headband; they refused, insisting that they had already made a concession to French norms by reducing the scarf to a headband. Called in, the national mediator counseled negotiations, but refrained from providing a solution.. After the student returned to class, still wearing her headband, some of the teachers demanded the convening of a disciplinary board, scheduled to take place the day before the February winter vacation, and threatened to go on strike if the student was allowed to go on. Around the same time, a woman lawyer wearing a scarf was prohibited from appearing in court. Later that spring, Interior Minister Sarkozy, who made a point of attending the annual congress of his UOIF allies, was booed when he insisted “religious signs” were not appropriate in public places.

As the issue rose to the fore, a number of members of the National Assembly, from both right and left—including past Socialist Culture Minister Jack Lang—pressed for the enactment of a law explicitly prohibiting the wearing of headscarves in school, courtrooms, etc. Left intellectuals quickly aligned for and against on distinct grounds: either in defense of freedom of expression and against discrimination, or in defense of secularism (*Libération*, May 6, 7, and 23, 2003; *Le Monde*, May 10 and 23, 2003). The debate was complicated when it came to light that the President’s wife, Ms. Bernadette Chirac, had intervened with officials to allow a Catholic nun to keep her headdress on while being photographed for her driver’s license. These developments also prompted initiatives designed to settle the matter once and for all. The Minister of Education commissioned a report on secularism, which produced sixteen proposals; on June 4 the National Assembly established a committee of inquiry into the issue of religious signs in school, chaired by Jean Louis Debré, to report by early December; and on July 3, President Chirac appointed an Independent Commission on the Application of Secularism in the Republic, chaired by the government’s mediator, Bernard Stasi, to report by the end of the year, including on the advisability of a law prohibiting the wearing of religious signs in public

places, notably schools and government offices (Le Monde, Oct. 27, 2003; New York Times, Oct. 8, 2003). Although opponents of a law prohibiting the wearing of a scarf in school argued all along that this would violate not only the French constitution but also the European Convention on Human Rights, their position was severely undermined when, in a hearing before the Stasi Commission, the Vice-President of the European Court declared that such a law would be judged as conforming to the French model of secularism, and thus not contrary to the European Convention, as the latter does not prescribe any specific model of relations between religion and the state, but admits all modes, from the French model to state religion as in Britain. Moreover, he insisted that if one wants to prohibit religious signs in school, then there has to be a law or a regulatory text to that effect (Le Monde, Oct. 28, 2003).

In conclusion, despite differences in the general principles governing church-state relations in Western Europe, it is evident that Islamic institutions are unequal to those of the established or traditionally recognized religions (Sunier & Meyer 1997). In addition to structural constraints, for example, the principle of recognition as practiced in Germany and Belgium means that only religions meeting certain bureaucratic criteria have access to governmental facilities and funds; and Islamic bodies as constituted in those countries often fall short of them. The diversity of the Muslim *umma* and the complexities of religion insure that negotiations will not proceed evenly in all spheres, and in most cases, moderate Muslims have tended to remain silent, thereby contributing further to the impression that Islam is inherently and inescapably “fundamentalist” (House 1996).

The U.S. situation is complicated by the fact that although Arab immigration started in the early decades of the twentieth century, it initially consisted overwhelmingly of Christians from Lebanon and Syria, who were quite easily incorporated into the mainstream –as indicated, for example, by the background of the present Secretary of Commerce and former senator of Michigan Spencer Abraham. Islam subsequently arose as a religious movement among African Americans, but there was no significant Muslim *immigration* until

the policy reforms of the 1970s opened up immigration to Asians, notably Iranians and Pakistanis; this was largely paralleled in Canada as well. But whereas Muslim migrants to Europe, especially on the Continent, were drawn overwhelmingly from the peasantry or the working class, Muslim immigrants to the United States and Canada included a substantial proportion of educated professionals, whose religious life adapted quite easily to the established congregational pattern, derived originally from Protestantism (Bozorgmehr, et al. 1996; Abu-Laban 1995; Metcalf 1996; Metcalf 1996). In the 1980s, Muslims from a variety of ethnic groups quite easily founded congregations and opened up mosques without attracting much public attention.

In the United States, the size of the Muslim immigrant population has recently become an object of controversy. Since “Muslim” denotes affiliation to a religion and not a nationality or an ethnicity, it is not recorded by the decennial U.S. census, any more than is “Catholic,” “Protestant,” or “Jew.” In keeping with the common practice of U.S. ethnic groups to inflate their numbers for the purpose of political bargaining, the Arab-American Institute claims that persons of Arab ancestry total over three million; but ancestry responses on a recent census survey indicate that they number just over one million, of whom the largest groups are Lebanese, Egyptian, and Syrian, most of whom live in the Detroit, New York, and Los Angeles metropolitan areas.⁹ An April 2001 report issued by the Council on American-Islamic Relations in Washington, D.C., stated that 2 million were associated with a mosque, and estimated on that basis a total Muslim population of 6-7 million, of whom 33 percent are South Asian, 30 percent African-American, and 25 percent Arab (Bagby, et al. 2001). However, the American Jewish Committee subsequently expressed concern that this meant Muslims outnumber Jews, and that “it would buttress calls for a redefinition of America’s heritage as ‘Judeo-Christian-Muslim, a stated goal of some Muslim leaders.” It therefore commissioned a report of its own, which criticized the Mosque Report for unsound methodology and concluded that there are at most 2.8 million Muslims in the United States.¹⁰

Overall, although U.S. officials have not endorsed “multi-culturalism,” the United States undoubtedly provides the most anarchic (in the literal sense of un-governed) setting among Western democracies for the launching of Muslim institutions. Although there is no such thing as “official recognition” of religious bodies at either the state or federal levels, informal national recognition was in effect accorded in the United States by the White House on the occasion of the Id al Fitr in Spring 1996, when a family event, was held in the Old Executive Office Building, and First Lady Hilary Rodham Clinton said that the Eid [sic] was “an American event,” and that White House recognition of the holiday was “historic and overdue.” Invited guests included African American converts, as well as Muslims from the Middle East, India, Pakistan, Indonesia, and elsewhere. According to Khaled Saffuri, assistant executive director of the American Muslim Council, Muslims had “asked before for recognition of the Eid, but our request always went unanswered.” He also said that the Clinton White House has been more welcoming to Muslims than any previous administration. (“The White House Recognizes Ramadan,” Washington Post, 24 February 1996: B7). At a less solemn level, Muslim holidays, dietary requirements, and dress codes, were fairly easily “naturalized” in schools and in workplaces by way of the demands of African American adherents in the 1970s and 1980s, and also because they largely paralleled arrangements negotiated by Jews in the post-World War II period. Muslims experience little or no difficulty in availing themselves of the opportunities open to religious organizations under the American version of separation of church and state --extending, for example, to the use of funds from foreign sources to construct and operate mosques or private schools; in effect, Islam is being processed by way of the flexible institutional apparatus that emerged from earlier confrontations with exogenous religions, Roman Catholicism and Judaism.

However, the situation of Islam began to deteriorate in the wake of the first attack on the World Trade Center (1993), and worsened considerably following the second (2001), which triggered a spate of proposals to make the United States more secure against the enemy within by subjecting foreign residents to

systematic verification, especially “Arabs” and “Muslims.” Interpretations of the current conflict as a confrontation between a purified Islam and a decadent Judeo-Christianity that corrupts Muslims creates an uncomfortable dilemma for some American Muslims, as the special relationship between the United States and Israel has long done for most Arab-Americans. In the present climate, opinions that deviate from the accepted range might be construed as tacit or even active support for terrorist undertakings. Despite repeated injunctions by President Bush and other elected officials to avoid blaming groups wholesale, security measures taken by U.S. agents self-evidently entailed ethnic profiling. Under pressure from the press and civil liberties groups, Justice Department officials revealed in early November 2001 that they had detained 1,147 people in connection with the attacks, of whom over half had been released by the beginning of November; some were identified on the basis of circumstantial links with the attack, but many “were picked up based on tips or were people of MiddleEastern or South Asian descent who had been stopped for traffic violations of for acting suspiciously”.¹¹ The total included 235 people detained for immigration violations, mostly Arab or Muslim men, of whom 185 were still in custody. In response, a number of Arab and Muslim social and religious organizations broadened their functions to include the defense of civil rights (Mathur 2003).

Language: Overall, in the sphere of language, as against religion, contemporary immigration countries remain clustered close to the “assimilationist” pole rather than the “pluralist” or “multi-cultural” alternative. A full-fledged “pluralist” alternative, which would involve acceptance by the hosts of the immigrant language(s) in public life, including both the economic and political spheres, thereby establishing the foundations for the emergence of a truly multi-lingual society, is nowhere to be found. In fact, assimilationist policies are sometimes implemented even before arrival, for example by giving preference to applicants who know the host country’s language(s), as under the Canadian or Australian “point system,” –which has been advocated for the United States as well-- or as

France did with regard to Indochinese refugees. It should be noted that this also had other implications, notably with regard to class and education.

As interpreted in most host countries, “freedom of speech” provides for the right of persons --including immigrants-- to use non-national languages in the private sphere, and today is also construed to prevent the state --in its incarnation as schoolteachers, for example-- from actively repressing their use by immigrants in public, as was done historically with many indigenous and regional linguistic groups (e.g., Saami, Native Americans, Bretons), as well as with earlier waves of immigrants “for their own good.” This freedom generally extends also to publications in non-national languages, which in the past were often subject to restrictions on security grounds and the like. However, the situation with regard to electronic media is more ambiguous. Because the state plays a leading role in allocating wavelengths or regulating the market for them, a place for minority languages has to be negotiated with the public authorities, as in the case of religion; for example, both Canada and Australia provide some broadcasts in immigrant languages as part of their multi-cultural incorporation policy (Inglis 1997). Beyond this, however, established practices have begun to change with the generalization of cable and the utilization of satellites, which in effect provides immigrants (and others) access to broadcasts and telecasts from the countries of origin. For example, a Europe-wide Turkish cable network has been under development since the late 1990s.

The “pluralist” position occurs at most in a very limited form. Examples include the provision of translators in specific situations, particularly where health and civil rights are at stake (hospitals, courts), and/or the provision of selected public services in the immigrants’ languages in some localities of immigrant concentration. With regard to the education of immigrant children (or who come from immigrant households), multi-culturalism is implemented by insuring that their home languages will be passed on to future generations, and by providing for their continuing development and expression in various art forms. However, the provision of mother-tongue facilities in education does not always indicate a commitment to “pluralism,” but may be part of a strategy to prevent settlement.

For example, in France, where language is highly emphasized as a marker of national identity, foreign-language education was provided to the children of immigrant workers in the 1970s as part of a policy to encourage their return; likewise, whereas Norway provides bilingual education for the children of ordinary immigrants to facilitate learning of the host language and incorporation more generally, Bosnian children taken in as “temporary protected persons,” in the early 1990s were educated in their mother-tongue, thus emphasizing the likelihood of their repatriation.

The newcomers’ objectives are usually more complex, ranging from quick learning of the host national language for economic purposes, to maintaining their original language as a marker of identity and as a vehicle for community both within the host society and transnationally. What mild pluralism is encountered is instrumental from the perspective of the hosts : either to facilitate learning of the dominant language, or to deal with public safety and emergencies, or yet as an instrument of trade and foreign policy (e.g., Australia). Nevertheless, while all “pluralist” positions are appreciated by immigrants, differences between “positive” and “negative” assimilationism matter greatly from their perspective. Every immigration country has been intent upon maintaining the status quo of the dominant language, and except for the French Canadians, who held the linguistic upper hand at the time of the British conquest but were unable to prevent the rise of English to paramountcy and subsequently had to struggle to reestablish French supremacy at the provincial level, has so far succeeded in doing so.

In effect, whether or not knowledge of the hegemonic / official language is a formal requirement for political membership, newcomers are expected to learn and use it. By and large, the hosts expect massive “boundary crossing” by newcomers, with varying doses of the carrot or the stick in bringing this about. “Boundary blurring” tends to be very limited to the provision of emergency services and the like on what is expected to be a temporary basis. Against this background, the steady rise of Spanish as a widespread second language in the United States is a most unusual instance of broad-gauged “boundary blurring,”

and the possible transformation of its monolingual culture into a bilingual culture would constitute a unique case of “boundary shifting” in the linguistic sphere.

Overall, in Europe, commitment to freedom of speech does not prevent democratic governments from insisting on the exclusive use of the national language(s) in its relations with the public; and it is noteworthy that the recent expansion of immigrant rights in many European countries has not extended to any requirement that the state make room for imported languages in the provision of public services, encompassing such vital institutions as the bureaucracy, the courts, or even public signs guiding traffic and the like. By and large, a similar situation prevails among the overseas immigration countries, including the United States. However, the situation in the United States changed as a result of the civil rights revolution, which led to the acknowledgment of certain linguistic rights of U.S. minority citizens, and the resulting settlement contributed to modify processes governing the incorporation of the new wave of immigrants. Thus, within the generally “assimilationist” linguistic world, there are significant variations, as illustrated by the cases of the United States and France.

UNITED STATES: Despite the absence of a formal national policy, English reigned unchallenged at the federal level from the founding onward and was imposed as a matter of course on non-English-speaking dependencies such as Indian reservations, Hawaii, and Puerto Rico (Fishman, et al. 1966). However, in the course of the nineteenth century states and local bodies often diverged from this norm in response to local conditions: Spanish was recognized in New Mexico’s constitution; numerous school boards provided a modicum of bilingual public education to their immigrant communities; the widespread use of foreign languages in the press and private education was unquestioned; language requirements for naturalization were minimal, if they existed at all; and foreign language ballots occasionally appeared as well to accommodate new citizens. German was particularly widespread among public institutions throughout the Midwest. However, hostility to the “new immigration” from eastern and southern Europe of the late nineteenth century triggered demands to restrict admissions

and to forcefully Americanize newcomers. The movement achieved considerable success in the wake of World War I, when German was in effect eradicated from the public sphere, including education. However, its triumph was limited by the *Meyer v. Nebraska* decision (1924), in which the Supreme Court ruled on due process grounds that states did not have the authority to prevent the teaching of foreign languages (Wexler 1996:346). Nevertheless, the educational community overwhelmingly supported unilingualism on pedagogic grounds. Notwithstanding acceptance of cultural pluralism in other spheres, an English-only regime prevailed throughout the country for the next half century, except for the recognition of Spanish as the language of Puerto Rico in the New Deal era.

Policy changes, amounting to the elaboration of a mildly multilingual regime, were brought about by distinct developments in the post-World War II era: the civil rights movement; the massive importation of Mexican workers under the “Bracero” program (1942-1965); the enactment of a less restrictive immigration law in 1965; and the adoption of a generous policy toward refugees from the Communist world. The turning point was the Bilingual Education Act of 1968, introduced on behalf of Spanish-speaking pupils in the Southwest, which added a Title VII to the first large-scale federal program in support of local schools enacted three years earlier. This provided a small demonstration program that was vastly expanded following the *Lau v. Nichols* decision (1974), in which the Supreme Court held the Civil Rights Act to mean that the failure to provide instruction in a language students can understand constitutes unlawful discrimination (Foster 1982:293). Advocates of bilingual education seized upon the case to press for the expansion of their programs, and a task force subsequently convened by the U.S. Office of Education to prescribe “Lau Remedies” placed bilingual education in a preferred position (Schmidt Sr. 2000; Leibowitz 1971; Leibowitz 1982). In the wake of these actions, as well as the continued flow of federal funding, bilingual education programs proliferated in a variety of forms, mostly designed to facilitate transition to English instruction, but with a minority dedicated to the maintenance of non-English mother tongues.

Additional federal programs were launched in the 1990s to remedy the deficiencies of earlier ones.

Concurrently, a lobbying campaign initiated by the Mexican-American Legal Defense and Education Fund (MALDEF) to obtain coverage for language minorities under the Voting Rights Act of 1965 led to the addition in 1975 of Titles II and III, requiring that registration forms, ballots, and other election materials be provided in a language other than English if more than five percent of the voters in a given district spoke the same non-English language, and if the district's English illiteracy rate surpassed the national average. Applicable to American Indians, Asian Americans, Alaskan Natives, and citizens of Spanish heritage, the legislation covered 384 counties. Titles II and III were reauthorized in 1992 in strengthened form. Parallel developments occurred with regard to the language rights of defendants, as well as of employees in both the public and private sectors. In a dramatic reversal of past policy, in 1990 the Congress adopted the Native American Languages Act, which committed the United States to preserve and enhance its indigenous languages. The development of foreign-language media, especially Spanish, in response to market opportunities has also contributed to the normalization of a somewhat multilingual societal configuration.

Contrary to contentions that the new policies reduce incentives to learn English, research indicates that recent newcomers rapidly learn English and that, as with past waves, use of the country of origin's language declines sharply in the second generation and almost disappears in the third (Stevens 1994; Espenshade & Fu 1997; Portes & Hao 1998). The steady shift to English is somewhat hidden from view because, by virtue of continuing immigration, the number of American residents who used a language other than English at home rose from 23 million in 1980 to nearly 32 million in 1990, with Spanish accounting for slightly over half of the total. As of 2000, 17.9 percent of the U.S. population 5 years and over spoke a language other than English at home, an increase of nearly one-third over 1990, with Spanish as by far the leading language (U.S. Census Bureau October 2003).

Not surprisingly, the dawning regime change provoked widespread opposition, often invoking the specter of conflict in multilingual countries such as Canada and Belgium (Petrovic 1997), and triggered moves to counteract the trend by officializing English at the state and national levels, as well as eliminating bilingual education. Hostility was especially high in California, which enacted several referenda to that effect, including Proposition 227 (1998) eliminating nearly all bilingual education; Arizona followed suit two years later. As against this, the American political system's responsiveness to the rapid transformation of immigrants into electoral clienteles fostered support for the new regime. On balance, multilingualism of services is likely to persist, so long as substantial replenishment of foreign-language speakers by way of new immigration continues. However, the future of bilingual education is less certain. As of 1997, the United States had approximately 3.5 million students with limited English proficiency (LEP), a 57 percent increase since the beginning of the decade; but they had higher rates of repetition and dropped out of school four times more frequently than their English-fluent peers (United States General Accounting Office 1999). Research findings regarding the effectiveness of various approaches to the education of LEP children, including the effects of the elimination of bilingual education in California in favor of "immersion," were indecisive; but run of the mill transitional programs, many of which lacked qualified staff, were widely criticized for their ineffectiveness and possible perverse effects, notably fostering within-school segregation of newcomers.

FRANCE: The fact that France is as much of an immigration country as the United States, with a similar proportion of foreign-born residents, has had little or no impact with regard to language in the public sphere. Albeit attributable in part to the fact that a majority of the immigrants originate in the Maghreb, where French is widespread both as a language of instruction and as a lingua franca, the absence of change largely reflects the lasting power of France's assimilationist stance. No provisions have been made for public services in languages other than French, except to a limited extent with regard to court translators for the benefit of the accused. Bilingual education, even of a

transitional sort, does not exist; the only concession made to immigrant communities at the elementary level is to allow the teaching of “languages and cultures of origin” as an elective subject outside of regular school hours. In 1989-90, attendance ranged from 13 percent for children of Algerian origin to 35 percent for Turks (Tribalat, et al. 1996:188-213).

However, in the face of new immigration flows from countries deemed “not to have any cultural links with France,” notably the former Soviet Bloc, Afghanistan, Turkey, Iraq, China, and English-speaking Africa, in 2002 the government elaborated a more proactive integration policy. This was to be founded on an “integration contract” to be entered in voluntarily by newcomers, which would include a commitment to take French courses –between 200 and 600 hours, depending on budgetary decisions—as well as a 30-hour “apprenticeship” course on civic rights and obligations. Upon completion of the “contract,” immigrants will be awarded a certificate that will facilitate applications for permanent residence and naturalization (Le Monde, October 18, 2002).

Citizenship:

Access to citizenship is a crucial feature of incorporation, as it provides formal membership in the receiving society. Following the distinction elaborated by T. H. Marshall, “citizenship” is a source of civil, social, and political rights, to which some would now add “cultural” rights, of which we have become much more conscious in the half century since Marshall formulated his conceptualization (Marshall 1950). Among contemporary democratic societies, however, formal citizenship is not a requirement for the enjoyment of basic civil rights, which are in effect extended to all legal residents, a category which has evoked the revival of the term “denizen.” In the United States, for example, the post-Civil War constitutional tradition explicitly extends civil rights to “persons” rather than “citizens,” and this has led to the extension of certain rights to illegal residents as well. This is largely the case with regard to “social” rights as well: legal residents generally enjoy the right to work, and concomitantly to collect unemployment insurance as well as most of the benefits provided by the welfare

state, in keeping with national variation. In some cases, denizens may even enjoy some political rights, notably that of participating in local elections –except where these are considered to constitute the first stage of a national election process. However, in all cases formal citizenship is required for the exercise of political rights at the national level, and hence for effective participation in the democratic process. Beyond this, formal citizenship is also a source of security, as statuses short of this, such as that provided by long-term residence authorizations, are usually conditional and subject to qualification or even revocation.

“Nationality laws” take into consideration a mixture of diverse elements (Weil 2001): birthplace, ascendancy, marital status, place of residence. “Ascendancy” may refer to the legal nationality of parents or more remote relatives (e.g., grandparents), as well as to a country or human community of origin (as in the case of African Americans in the United States, who were granted citizenship after the Civil War on the basis of their African origins, while around the same time, Chinese were denied access to citizenship on the grounds of *theirs*). The attribution of nationality on the basis of birth in a territory over which the state currently maintains sovereignty is usually referred to as *jus soli*; on the basis of the nationality of one parent (sometimes limited to the father’s) is usually referred to as *jus sanguinis*. Weil has observed that where a population and a territory match each other exactly, it would make no difference whether citizenship is attributed on the basis of the one or the other; in practice, the difference comes into play only when there is a disconnection, as is the case in the contemporary world generally, and especially in Western Europe and North America.

Nationality laws also deal with the acquisition of citizenship by way of “naturalization”. Here, there was traditionally a sharp contrast between self-conceived “immigration countries,” which generally were eager to incorporate newcomers as fast as possible, and those that saw themselves as “ancestral lands,” where the process was generally complicated and often expensive process, requiring individual petition to the state, court procedures, and often

demanding conditions. However, until recently, immigration countries also gave considerable attention to ancestry, in that most of them in effect imposed “whiteness” as a qualification for eligibility to naturalization. The case of the United States is somewhat paradoxical in this respect, as by virtue of the post-Civil War constitutional amendments and legislation that extended citizenship to African Americans, persons of African descent could not be constitutionally excluded from naturalization; however, no such prohibition pertained to Asians, who were in fact kept out until well into the twentieth century.

Nationality laws are shaped by juridical tradition (notably the distinction between “common law” of Britain and its overseas derivatives, and Roman law, notably as codified in modern form in “Napoleonic Code” countries); divergent nation-state building experiences; the role of immigration in the country’s history; and the presence of minorities. Generally, regimes associated with *jus soli* “are presumed to be more inclusive and less ascriptive” than those associated with *jus sanguinis*, which is attributed “to the ethnic character of its framer’s conception of nationhood and to serve as a general expression of the state’s self-understanding”, a contrast drawn between France and Germany (Brubaker 1992). But as Patrick Weil has pointed out, such a generic explanation proves highly problematic when tested against the historical record of European and North American states. For example, although France emphasizes its strongly integrative republican identity, forged through its revolutionary experience, in fact the principle of *jus soli* was associated with the feudal tradition, in that a person born on royal soil in effect “belonged” to the King; hence the Revolution shifted toward “*jus sanguinis*”, with no ethnic overtones but as an indication that “family links transmitted by the pater familias” –and for a long time, specifically not the mother!—“had become more important than subjecthood” (Weil 2001:20). This conception remained dominant throughout most of the nineteenth century, and to this day, in France “soil” is in fact associated with the more ethnic conception of nationhood. The reintroduction of *jus soli* in the mid-nineteenth century was intended primarily to in effect foist French citizenship on the children of long-term foreign residents, whose families avoided it because of the military draft

obligation this entailed (Weil 2001:28). The *jus soli* that prevails in the common law tradition is also derived from a feudal conceptualization of the nation as an organic entity constituted by the royal domain, and it can hardly be argued that the British concept of nationhood is an inclusive one. That being said, it is self-evident that where *jus soli* has become associated with a self-conceptualization as a “nation of immigrants,” it provides a signal advantage to the “second generation”.

In practice, nationality laws usually involve a mixture of the two. For example, while the United States comes close to an ideal-typical “*jus soli*” situation, as it was enshrined into the constitution by way of the Fourteenth Amendment, which grants U.S. citizenship to *all persons born in territory under the jurisdiction of the federal government*—a measure originally designed to grant citizenship to ex-slaves— it also takes into consideration *jus sanguinis*, in that the child of an American citizen born outside U.S. territory is attributed U.S. citizenship by virtue of that parent’s status. On the other hand, in France, *jus sanguinis* comes into play in the first instance, as the child of a French parent automatically is French, but *jus soli* comes into play as well, as the children of non-French parents, but born on French soil, are granted easier access to the acquisition of French nationality, and the children of non-French parents, but who are themselves born on French soil, benefit from *jus soli* at birth.

The onset of the post-World War II global immigration wave occasioned considerable change and adaptation on both sides of the Atlantic:

UNITED KINGDOM: In the course of negotiating the transformation of its empire into the Commonwealth, the United Kingdom in effect conceded to its former subjects freedom of entry and settlement in Britain. Concurrently, it also recruited some colonials as guest workers, both to fill some shortages, and to reduce population pressures and unemployment that were thought to spawn radical political movements. Together, these developments had the unforeseen consequence of occasioning a much larger immigration of Asians and West Indians than anticipated, which in turn fostered the precocious emergence of radical anti-immigrant movements and parties (notably the National Front). What

came to be considered as a severe problem was compounded by the *jus soli* tradition, whereby all persons born in Britain automatically acquired British nationality. In response, the Conservative Party took the lead in moving British nationality law away from pure *jus soli*, and the Labour Party followed in its wake: the Commonwealth Immigration Act of 1962 and the Immigration Act of 1971 placed limits to the freedom of entry of former colonials and created a distinct intermediate category, “patrials,” who benefited from a right of abode. This was attributed to citizens of the United Kingdom or of the colonies provided that they were born, adopted, or registered in the territory of the United Kingdom, or had been residents for at least five years. The 1981 legislation, responding to some extent as well to the realities of participation in the European Union, created British citizenship. It automatically attributed *jus soli* to children born in the United Kingdom to a British citizen or to a non-British permanent resident born in the United Kingdom –somewhat on the French model; otherwise, a minor could acquire British citizenship if resident in the UK for ten continuous years. In addition, British citizenship is automatically attributed through *jus sanguinis* to the first generation born abroad, as in the United States and many other cases. However, in the next generation, the descendant of the British citizen loses British citizenship unless she settles in the United Kingdom (Hansen 1998).

There has been convergence throughout continental Europe toward the “double *jus soli*” adopted by France in 1889, whereby the children of foreign nationals themselves born in France are automatically French citizens at birth. This was adopted by the Netherlands in 1953, Spain in 1990, and Belgium in 1992. Straight-forward *jus soli* prevails in Ireland, and under conditions of legal residence in the United Kingdom and Portugal (if the parents have resided in Portugal for some years before the birth). Beyond this, in Belgium, Denmark, Finland, Italy, the Netherlands, and Sweden, a person born in the country for foreign parents can acquire citizenship upon reaching majority after fulfilling certain residency requirements. In Spain, the parents can request naturalization of their locally-born child one year after birth. Throughout the European Union, with the exception of Austria, Greece, and Luxemburg, access to citizenship for

the second or third generation has recently been facilitated as well. Even Austria has acknowledged the relevance of local birth, as applicants to naturalization who were born there may be naturalized after six years' residence.

GERMANY: Germany has usually been viewed as the case most resistant to movement away from *jus sanguinis* and this has been widely attributed to adherence to a conception of the nation that is itself founded on "blood," i.e., ethnic. However, this is somewhat of a misunderstanding. The prominence of *jus sanguinis* can be traced to its adoption by Prussia in 1842, when it was, as noted, the dominant principle of French law; it was demonstrably not ethnically motivated, as this bestowed full Prussian nationality on Prussians of Polish and Jewish ancestry. Incorporated into German law at the time of unification, the principle of *jus sanguinis* was further reinforced in 1913, largely to provide for the maintenance of German nationality among *emigrants* born of German parents in foreign countries, including the United States, rather than to exclude *immigrants*. Nevertheless, perceptions of Germany as an ethnically-founded nation were reinforced during World War I, and under the Nazi regime *jus sanguinis* was made to operate in a deliberately ethnic and racist manner.

This was maintained after World War II, once again not in opposition to immigrants, but rather as a way of maintaining legal ties with East Germans and of incorporating the millions of ethnic Germans expelled from the Soviet-occupied territories in Central and Eastern Europe. However, as Germany evolved into somewhat reluctantly into an immigration country, this legal tradition emerged increasingly as an obstacle to the incorporation not only of newcomers, but especially of their German-born progeny. It was only in the 1990s that Germany began to move toward what might now be considered the "European norm," adopting in effect a version of "double *jus soli*."

Countries also vary with respect to the rights of resident aliens (Joppke 2001). In the United States, two somewhat contradictory principles operate: on the one hand, the federal government has "plenary power" over all matters pertaining to the entry, stay, exclusion, and naturalization of immigrants; but on the other hand, resident aliens are on a par with citizens because they are

“persons.” Although Joppke has pointed out that “While the plenary power principle has never been officially rescinded by the Supreme Court, its legitimacy has grown thin over time” (Joppke 2001:40), legislation of 1996 as well as the post-9/11 “USA Patriot Act” have shifted the weight back somewhat in this direction (Cole 2003). On the other hand, in the 1970s the Supreme Court invoked the equal protection clause for “persons” of the Fourteenth Amendment in a number of cases, to strike down state laws that withheld welfare benefits from resident aliens and, most famously, to invalidate a Texas law that denied a free public school education to the children of illegal immigrants. However, in 1994 the state of California adopted by way of a referendum Proposition 187, which denied most state services to illegal aliens, and the U.S. Congress subsequently enacted legislation in the same vein. None of these has yet been fully tested in the courts.

In Europe generally, the onset of large-scale permanent settlement by guest workers and the family reunion that ensued created pressures on behalf of legal reforms that guarantee permanent residence to long-term immigrants and facilitate their access to citizenship. In the late 1970s the French *Conseil d'État* issued a negative opinion regarding the government's attempt to forcibly repatriate the majority of legal North African immigrants in the late 1970s, and in 1984 France enacted a law granting permanent residence to nearly all foreign workers and their families. In the same vein, in Germany, “an austere and rudimentary Alien Law passed in 1965,” which granted no rights whatsoever to labor migrants, “went unreformed for twenty-five years”; nevertheless, during this period labor migrants did achieve a secure permanent resident status thanks to the intervention of “an aggressive federal constitutional court” which promoted the principle of incremental protection, i.e., protection increasing with the length of residence until full rights are achieved (Joppke 2001:45). In 1990 Germany also adopted a new basic Foreigner law, which considerably facilitates access to the acquisition of German nationality, notably for the second generation.

However, the trend has not been uni-directional. For example, in the 1990s Germany embarked on a new round of guest worker recruitment, now

from eastern and central Europe, which are specifically designed from the outset to prevent aliens from remaining in Germany long enough to benefit from this incremental system. Other affluent countries as well are once again seeking “to have their cake and eating it too,” in the sense of trying to secure the benefits of foreign workers without allowing them to secure the full rights of citizens and the benefits such a status affords.

In another sphere, a particularly notable development has been movement toward much greater latitude for the acquisition of multiple nationalities: “In a perfectly symmetrical world each individual citizen or national is a member of one and only one state. In the past many political theorists and legal scholars have imagined such a world. They denounced the very idea of dual nationality as unnatural and likened it to a bigamous marriage. Today, defenders of postnational and transnational understandings of political membership see in the phenomenon of dual nationality the harbinger of a new world, one no longer dominated by the nation-state” (Aleinikoff & Klusmeyer 2001:63). In the United States, for example, it is estimated that more than half a million children born each year have at least one additional nationality; another estimate suggests that 60 percent of Swiss nationals who live abroad are dual nationals; and in Australia the number of dual nationals may reach five million. Overall, there has been a noticeable shift on the part of states from their traditionally restrictive stance toward a more open or at least tolerant one. This is in part a concomitant of the more general strengthening of women’s rights, enabling them to keep their nationality upon marriage and to pass it on to their children; but it also undoubtedly reflects also the passing of the era of mass armies, when male military service was viewed as a primary obligation of citizenship. A major concern preventing full acceptance of multiple nationalities is concern over its consequences for voting: for example, if the country in which the dual citizens are not residing permits absentee voting, then they are entitled to vote in two sets of national elections; another is that they may “vote the interests” of one of their countries in the other (Aleinikoff & Klusmeyer 2001:80). But it is by no clear in what way, if any, the possession of two votes harms

democracy; and as with regard to the second of these concern, Aleinikoff and Klusmeyer point out quite appropriately that mononationals may also have a special concern with another state.

Conclusion: The Paradoxes of Globalization

While much of the concern over the effects of globalization rightly focuses on its contribution to loss of identity by way of the steady spread of a manufactured commercial culture which threatens to swamp the legacy of diverse human experiences and undermines the survival of these rich multi-faceted cultures, globalization is also contributing to the transformation and enrichment of cultures that, throughout much of the nineteenth and twentieth centuries, were elaborated in the service of competing and often mutually hostile national states.

In the past few decades, in much of Europe and North America, national states have relinquished much of the repressive control they long exercised on some of the residual regional cultures that diverged from the national standard. In a number of cases, this has been accompanied by political decentralization, providing in effect an unprecedented degree of self-governance in the cultural sphere. Within the European Union, there has even arisen the possibility of a *rapprochement* between related cultural regions hitherto separated by state boundaries.

Concurrently, globalization has contributed to the unprecedented mobility of world population (Castles & Davidson 2000). While much of this movement is attributable to political or economic necessity, and largely reflects the world's structured inequality, it nevertheless has the overall effect of reducing the exclusivity of "national belonging" fostered by the dynamics of the modern international system. This has brought about the dawn of cosmopolitan denizenship, whereby human beings within their own country or abroad are endowed with a modicum of basic rights (Zolberg 2000). Although these rights

are obviously not universally respected, it is nevertheless the case that they are *acknowledged* to an unprecedented extent.

“Denizenship” has come to be recognized as a distinctive status on both sides of the Atlantic, and overall, access to citizenship has been significantly facilitated. Thus, in the area of citizenship, boundaries have in effect become less rigid, thereby facilitating individual crossing –providing individuals with choice. At the same time, the “price” of such crossing has been lowered, in that individuals are in effect no longer required to give up their prior nationality in order to do so. In the sphere of culture, the situation is more mixed. With regard to language, in effect “boundary crossing” retains the upper hand; however, some concessions are made here and there to the preservation of ancestral languages, and in the case of the United States, immigration is producing unprecedented movement away from the insistent monolingualism that was long seen as a central requirement for national integration –tantamount to boundary shifting.

But when all is said and done, the most significant consequence of globalization in the cultural sphere is the loosening of the boundaries that have separated world religions. These boundaries were not an happenstance development, but rather the result of deliberate century-long political efforts on all sides. Although only Islam has been considered here, because of its prominence in current affairs of state on both sides of the Atlantic, it must be noted that similar developments have occurred with respect to other “non-European” religions. While unease, fears, and even hostility towards Islam in general are not likely to disappear in the near future, the interpenetration of hitherto mutually suspicious civilizations also provides an unprecedented opportunity for finding or establishing common ground. It must be recognized, however, that from the perspective of the receiving societies, this amounts to a genuine boundary shift, and that such an experience is always traumatic, generating undesirable side effects, notably aggressive reactions and negative movements. It is evident that both European and North American societies are currently in such a transitional

phase, and hence that manifestations of resistance to change should be understood as indications that change is in fact proceeding apace.

With regard to citizenship, somewhat contradictory overall trends are observable. On both sides of the Atlantic, most civil and social rights traditionally associated with citizenship have been gradually extended to legal, permanent foreign residents {Feldblum 2000}. This globalization of human rights constitutes a significant countertrend to the “nationalization of human rights” Hannah Arendt considered as laying the groundwork for totalitarianism {Zolberg 1994}. However, this is much less the case with regard to political participation, which with minor exceptions tends to be reserved for formal holders of a country’s nationality. There has also been a general trend toward more inclusive nationality policies, facilitating its acquisition by long-term residents and especially by children born in the country. However, the increased mobility of the world’s population and concern with legal immigration has also prompted a number of traditional *jus soli* states to qualify this by limiting it to the children of legal permanent residents. Last but not least, affluent states continue to make use of foreign workers, whose economic profitability is founded in effect on the possibility of preventing their access to citizenship. As economic globalization proceeds apace, this will emerge as an increasingly important source of inequity unless it is dealt with at the level of the international community.

Finally, while the discussion in this essay has focused on processes at the national level, in fact the countries in question have never been self-contained, and are if anything much less so today. On the European side in particular, negotiations regarding the management of old and new differences are taking place within the framework of the European Union as well as of the broader one provided by the Council of Europe, both of which to some extent mitigate narrower national dispositions. While no equivalent transnational framework has emerged in North America, Canada, the United States, and Mexico are in fact moving toward economic integration, and the population movements between Mexico and the United States are of such magnitude as to foster, willy nilly, the emergence of a transnational society.

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ENDNOTES

¹ Part of this paper is drawn from an earlier article co-authored with Long Litt Woon (Zolberg & Long 1999).

² But the fact that most of the latecomers had more heterogeneous linguistic configurations than Western Europe during the equivalent period of state-formation rendered the pursuit of uniformity illusory.

3. The relative decline in the proportions of recent immigrants from Europe is further emphasized when we take into consideration emigration from the United States: persons from Europe have the highest propensity to leave the U.S., and persons from Asia the lowest (Jasso & Rosenzweig, 1990).

⁴ Let us not forget that hundred of thousands of persons in Germany, France, Belgium, the Netherlands, Norway, Denmark, Italy, and Greece would today trace their ancestry to immigrants from eastern Europe had it not been for the mass murder of Jews by the Nazis, which also prompted many of the survivors to emigrate to Israel or overseas.

5. According to governmental figures on immigration submitted in 1995 by 19 Council of Europe countries, Muslims are followed by Roman Catholics (over 900 000), Buddhists (over 400 000) and Hindus (over 390 000).

6. This is largely inspired from the framework set forth by Rainer Bauboeck. However, whereas his framework emphasizes boundary crossing and boundary blurring, Long and I have added “boundary shifting,” and extended the whole set of concepts to all parties involved, both newcomers and hosts.

⁷ This corresponds to the “differentialist model” discussed by Christine Inglis (Inglis 1997:23).

8. A further distinction can be made between legal and social boundaries. Whilst newcomers might be accepted as formal members of the polity e.g. through naturalization, they might still be held at arm’s length as not being Areal@ co-nationals. A case in point is that of an immigrant who has acquired citizenship in a Western European country, but is not viewed by respectable and well-informed persons as a “real” European.

⁹ “Census had a variety of categories, but none tallied Arab or Muslims,” by Nicholas Kulish, *The Wall Street Journal*, September 26, 2001; *The New York Times*, October 15, 2001, B10 (according to Jon Alterman, Middle East specialist at the U.S. Institute of Peace).

¹⁰ “Jewish group says estimates of U.S. Muslim population are too high,” by Rachael Zoll, The Associated Press, October 22, 2001 (http://www.washingtonpost.com/wp-srv/aponline/200111022/aponline212753_000.htm)

¹¹ *The New York Times*, November 3, 2001: B1. This was raised from 1,017 reported a few days earlier (*The New York Times*, October 30, 2001:B1).